

of PLATO

- Management
- Design & implementation of subsystems
- Operational working teams
- Observers

The GOP Team WP coordinators and active contributors: S. Udry, N. Mowlavi, D. Pollacco, F. Bouchy, R. Alonso, S. Desidera, A. Reiners, N. Santos, T. Wilson, Y. Alibert, I. Ribas, E. Masina, J. Portel, N. Billot, F. Alesina, J.C. Morales, A. Santerne, J. Poyatos, X. Dumusque, F. Pepe, E. Günther, T. Forveille, L. Malavolta, H. Deeg, G. Wüchterl, E. Palle, P. Chote, A. Vigan, M. Janson, D. Mesa, C. Lazzoni, P. Delorme, S. Sousa, P. Petit, D. Mourard, M. Bergemann, X. Bonfils, D. Ehrenreich, G. Hébrard, Pau Ballber, Néstor Campos Gestal, and many more...

#### <u>Outline</u>

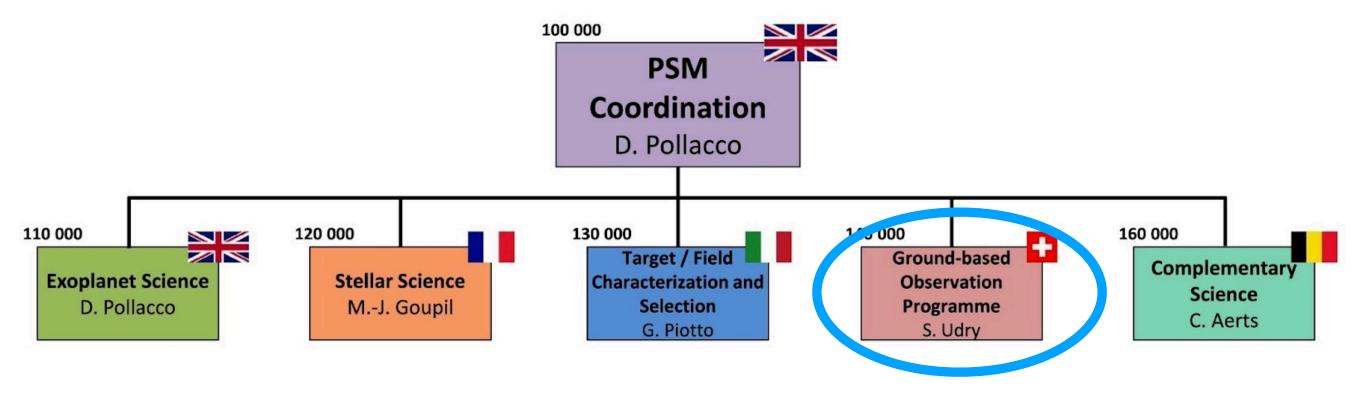
- Motivation and role
- Estimated planet yield & telescope time
- Organisation (efficiency & optimisation)

Stéphane Udry, ESP2025, Marseille, 23.06.2025

## Role of the PLATO Follow-up

#### Goals of the PLATO mission

- Detect planets and determine their radii and masses (respectively 3% and 10% precision at m<sub>V</sub> ≤ 10 mag);
- Demographics and architecture of planetary systems
- Determine accurate stellar masses, radii, and ages;
- Identify bright targets for atmospheric spectroscopy



**Note:** the GOP is fully part of the PLATO consortium

mass measurements

Main input for the science goals: provide the missing complementary data to the PDC

- Establish the nature of the transit events and identify/reject false positives (vetting, filtering: spectro, photom, imaging
- Characterise the planet properties (M, Rho, e) from Earth-type to giant planets as well as planetary system properties (statistics/architecture)

Help correct for contamination effect (e.g. radius estimate) new contaminants

Help for the determination of stellar parameters high-resolution spectroscopy

## GOP: science+vetting needs

- 1. Basic stellar parameters
  - coordinates, mag, spectral type, mass, radius, age...
  - specific for the reduction pipeline: star RV
  - ... others ?
- 2. System properties: environment
  - binaries, known planets and their parameters
  - contaminants
- 3. Best radial-velocity measurements
  - vsini, activity level (RV precision, choice of instrument)
  - optimised scheduling
- 4. Time series from previous obs/surveys (with uncertainties)
  - RVs: known or long-P planets
  - Activity proxy: star-planet disentangling

=> + existing archive data





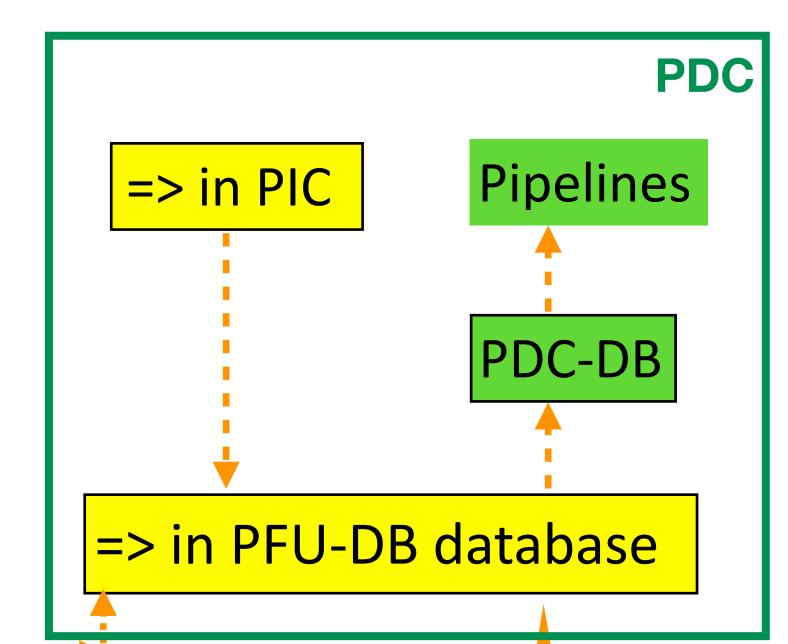




(From surveys: Gaia, TESS, RVs, etc)

#### GOP will provide

- High resolution, high S/N spectra: vsini, Fe/H, Teff, mean activity level (various indexes)
- Time series with BJD, RV, Sig\_RV, CCF bisector, activity index, ...
- Radii from interferometry
- High-angular resolution [high-contrast] images
- Ground-based photometry



## Main PLATO Data Products



## Level-0

Imagettes of selected targets

Validated light curves and centroids (generated onboard)

## Level-1

Processed imagettes of selected targets

Light curves and centroids corrected for instrumental effects

## Level-2

Planetary transit candidates

Results of asteroseismic analysis

Stellar rotation periods and activity

Stellar masses, radii, and ages

Planetary systems confirmed through TTV

## Level-3

For Prime sample

Catalogue of confirmed planetary systems combining transit and ground-based RV observations

Talk by H. Rauer

#### SAMPLES AND DATA ACCESS





#### Data access defined according to:

- Prime sample
- Non-prime sample (legacy part)
- PMC Proprietary targets
- Guest observers programme

#### P1/P2 samples:

~15 000 dwarf and subgiant stars (F5 to K7) with V <11 mag, < 50 ppm in one hour

Statistical sample (> 245 000 dwarf and subgiant stars V < 13 mag, < 16 mag for M stars) (M dwarfs: P4)

P5/P4 samples

**Prime** sample PMC

Prime sample: ~20'000 stars

- **Ground-based Observation** Programme and delivery of L3 products
- List of stars will be published nine months before launch

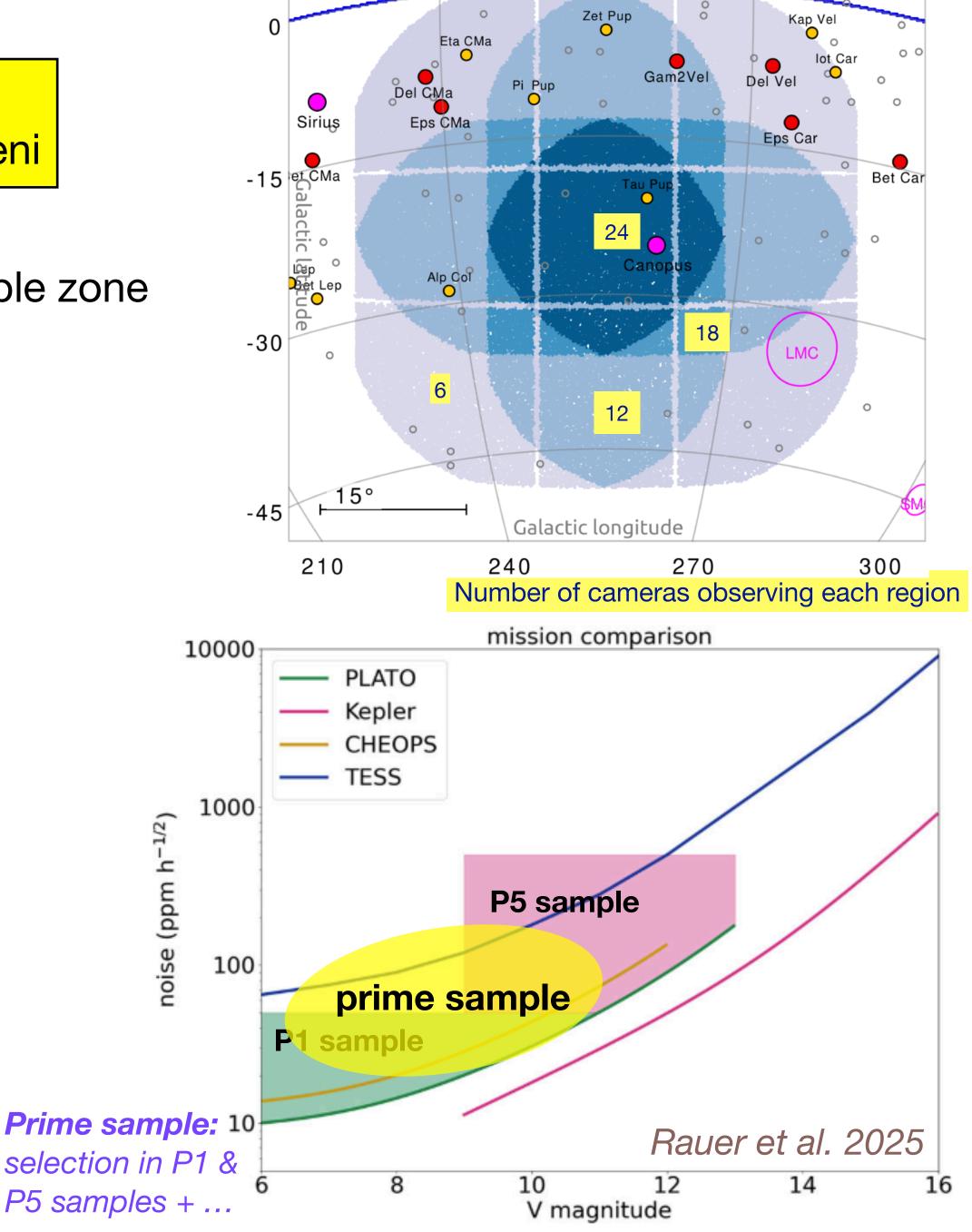
PMC Proprietary targets: 25% of the 25% prime sample targets of < 11 mag observed with best N/S

## **GOP Targets**

Talk by V. Nascimbeni

- The prime sample (base for dimensioning)
  - Is the main priority of GOP targets (contractual)
  - Optimised to detect/characterise Earth-like candidates in habitable zone
  - ~20'000 targets (over the 4-year nominal mission)
  - Later release date than other targets

- Additional observations of targets devoted to science cases (PMC Science activities)
  - To **enhance scientific return** of the mission
  - Benefiting from GOP machinery (infrastructure and organisation)
  - Requests from **Working Groups** of the PMC science community, prioritised by a dedicated *operational WG*, and monitored by the PLATO Science Core Team (PSCT).
  - Can be scheduled on facilities upon availability.



Southern field (LOPS2)

# Expected planet yield



	known transiting			2+2
Samples	planets	Red Book	Heller	Cabrera
all planets,				
<13 mag in P1, P5 samples	1 151	$\approx 4600$	n/a	6 800-7 100
all planets V<11 mag	330	$\approx 1200$	n/a	1 200-1 350
planets $<2 r_e$ in HZ	1/62			
<11 mag	0	6 - 280	11 - 34	0 - 120
	known transiting			2 + 1
	known transiting			3+1
Samples	planets	Red Book	Heller	Cabrera
Samples all planets,		Red Book	Heller	
		Red Book ≈11 000	Heller n/a	
all planets,	planets			Cabrera
all planets, <13 mag in P1, P5 samples	planets 1151	≈11 000	n/a	Cabrera 10 100-10 700

**Table 1** Estimated PLATO planet yields. Red Book: ESA-SCI(2017)1; Heller: Heller et al. (2022); Cabrera: Cabrera et al. in prep. 2022. 2+2 means 2 long pointings of 2 years duration; 3+1 means one 3-year observation followed by one year with six target fields for 60 days each, as in the Red Book. Known transit planets are taken from the NASA exoplanet archive in Dec. 2022)

Largest uncertainty comes from unnown frequency of small planets

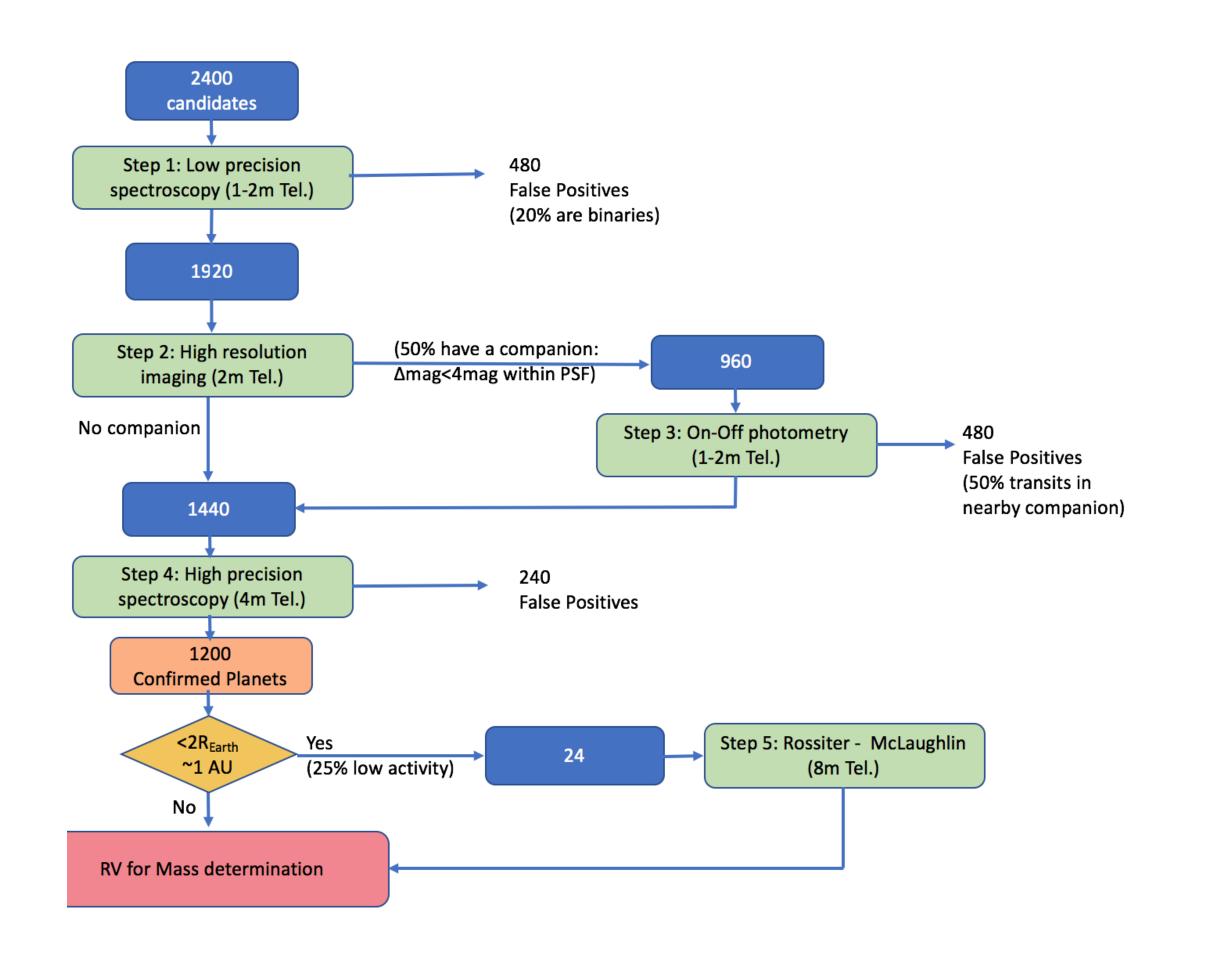
to be provided by PLATO

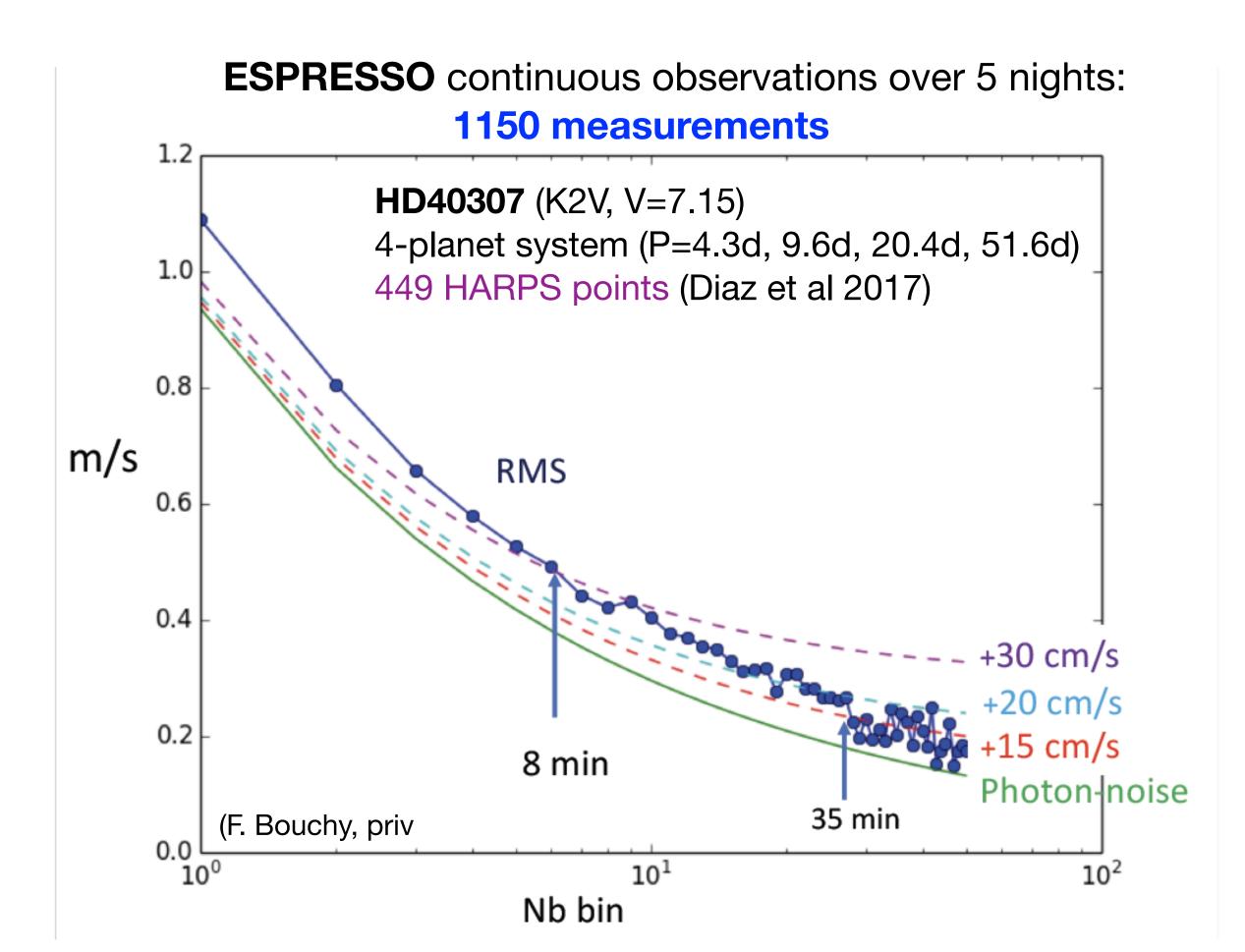


## PLATO yield and need in telescope time?

#### 1. Early estimate

- based on results from Kepler + ad hoc value of eta Earth = 40% (publications: 0 % 90%)
- vetting needs (from Kepler) and standard procedure (recon spectro, on-off photom, ...) => educated guesses
- challenge: precise mass estimate => only for the 25% of the most quietest candidates
- binning of RVs to obtain equivalent precision per bin, taking activity into account (Dumusque et al. 2010, 2011)





## Telescope time estimate for the prime sample (2015)

Table 6.2: Estimates of ground-based telescope resources needed for follow up of planet candidates discovered during the PLATO Long-duration Observation Phase in both hemispheres.

Telescope Class	Filtering/Candidate Confirmation		Radial Velocity  Measurements		Total Nights
	(nights/year)	(Total nights in 7 years)	(nights/year)	(Total nights in 9 years	
Follow-up is tractable with existing/planned facilities with reasonable allocation of time					
The largest part of the effort goes into the precise mass measurements					
4m high-resolution spectroscopy	~20	~140	~100	~900	~1040
8m high-resolution spectroscopy	~5	~35	~80	~720	~755

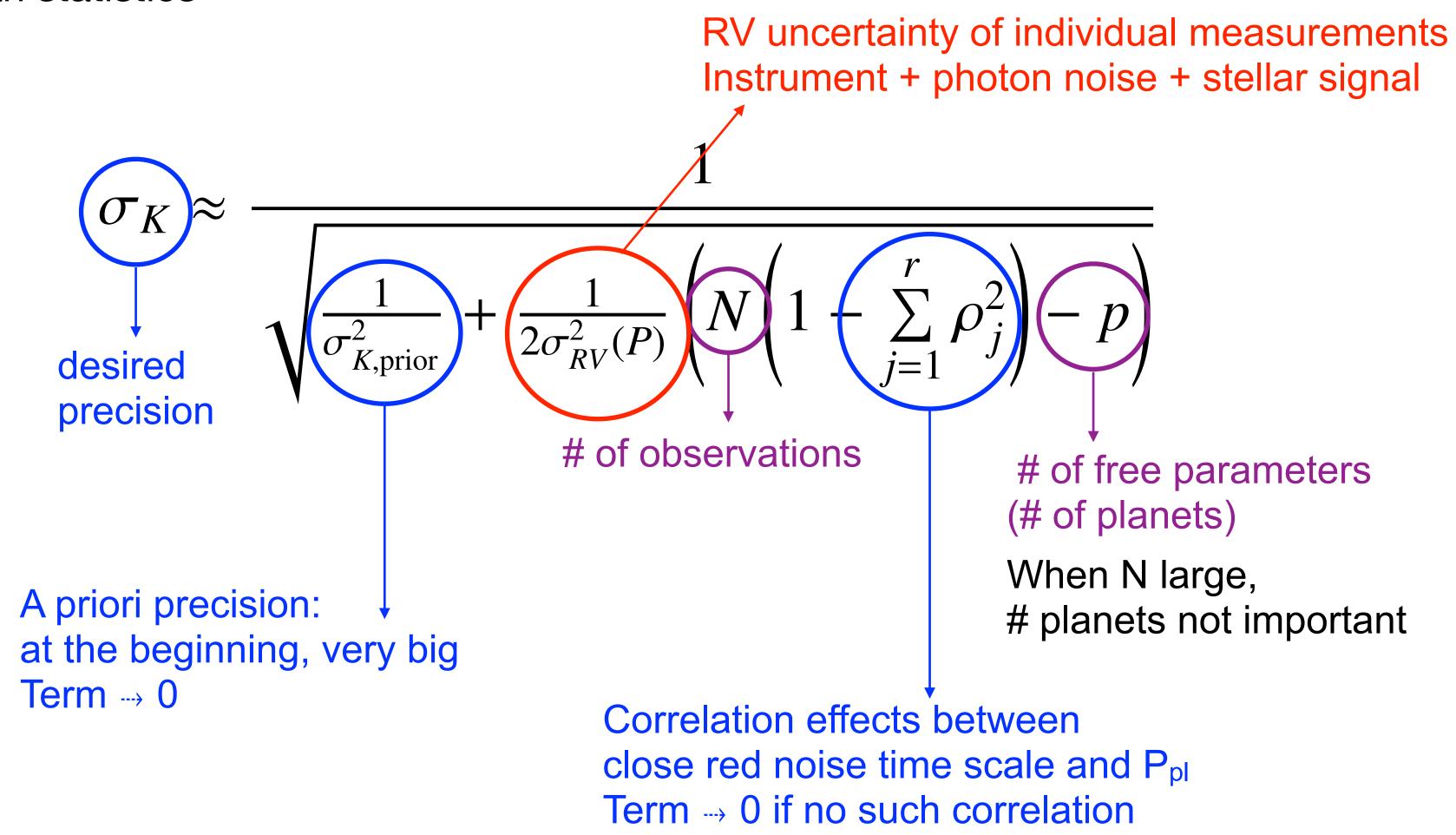
Note 1: The time spans of 7 years for the filtering observations and of 9 years for the radial velocity observations are assumptions based on estimates of available telescope resources.

Note 2: The numbers reported in the Table are global for northern and southern sky visibility.

## PLATO yield and need in telescope time?

#### 2. A statistical framework estimate (# of observations to obtain masses at 10%): (Hara & Udry in prep)

- based on Gaussian statistics



## RV measurements: statistical framework

Final estimate: Number of observations for a given precision by inverting the previous relation

$$N \approx \frac{1}{1 - \sum_{i=1}^{r} \rho_j^2} \left( p + 2\sigma_{RV}^2(P) \left( \frac{1}{\sigma_{K,\text{desired}}^2} - \frac{1}{\sigma_{K,\text{prior}}^2} \right) \right)$$

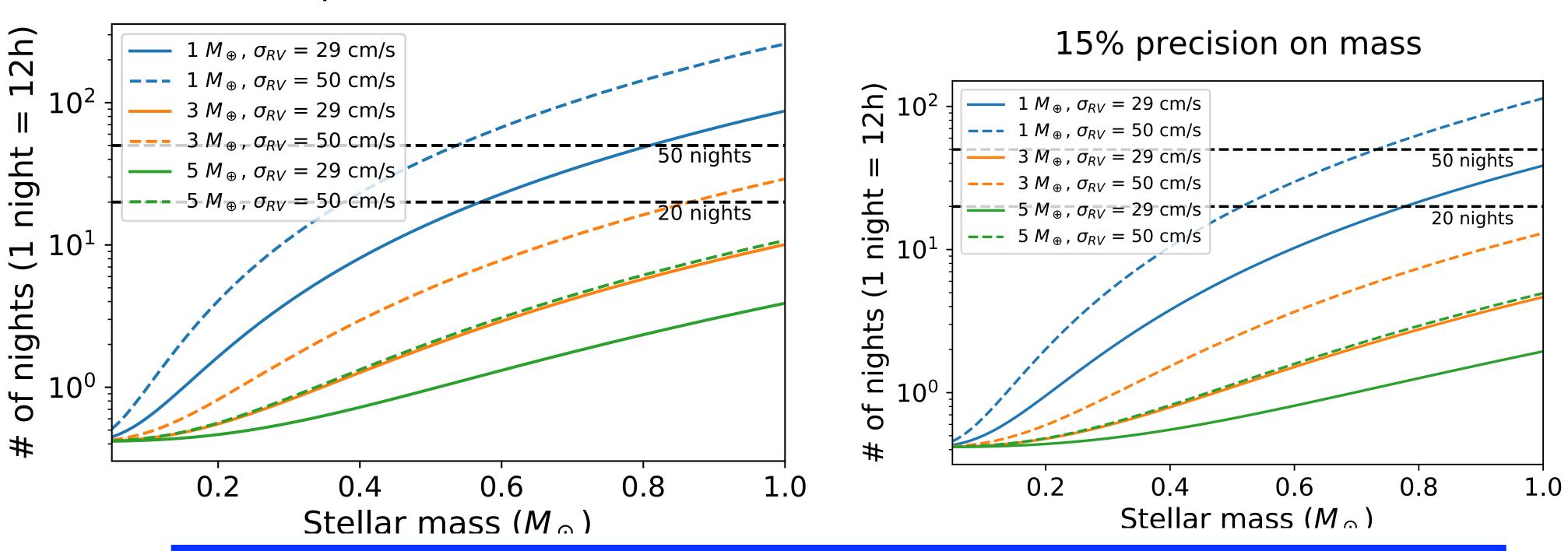
(Hara & Udry in prep)

- Formalism very flexible and quick to apply
- Needed parameters provided by
  - PLATO light curve: Ppl, phase, Rpl (via Rstar)
  - PIC: stellar mass & radius, and potential other knowledge about the star (e.g. Prot)
  - A priori:
    - instrumental stability (from facilities)
    - distribution of activity per spectral type (from existing spectro surveys) or modelling
    - R<sub>pl</sub> M<sub>pl</sub> relation (from models, observations, etc)

## RV measurements: statistical framework

- Estimate for planets in the habitable zone
- Case of a 1 Earth mass planet in the habitable zone of stars of various masses (V=10)
- Keep in mind that the estimate is only valid for large N
- Results on individual cases in agreement with our basic estimates from 2015

#### 10% precision on mass



=> confirmation of the numbers previously estimated with binning (within uncertainties)

## PLATO yield and need in telescope time?

#### 3. Status today

- Vetting part is +/- under control
  - GAIA is helping tremendously (stellar parameters, identification of contaminants)
  - large number of small size facilities and several moderate precision spectrographs (5-10 m/s on RVs)
  - some unknown about the need for high-angular resolution, high-contrast imaging (8m telescopes)
- Main difficulty is the mass estimate (10% level is incredibly difficult for an Earth around the Sun)
- Longer periods are also more difficult

Talks by S. Gouffal/L. Pietro

- In some cases (e.g. resonant systems) TTVs will help (but be careful of potential systematics, Leleu et al. 2022) => combining RVs and TTVs will be a wining approach Talks by R. Mardling/A. Leleu
- Challenge of the RV precision (next slide):
  - photon noise => bright stars + large facilities
  - instrumental fidelity (stability and reproducibility)
  - stellar contribution

Talk by L. Malavolta

- A PLATO Stellar Variability Working Group (SVWG) is presently addressing the question Talk by S. Aigrain
  - with specialists of stellar physics, precision photometry (transits detection) and precise RV measurements
  - photometric and RV data challenges are on-going

Talk by Crétignier/Hara

- development of a best observing strategy for each type of stellar effect influencing RV measurements
- Important challenge we are facing: when do we start intensive RV follow-up of a candidate?

#### New smart approaches for disentangling stellar, instrumental, telluric and planetary contributions

1) RV extraction => 2) Analysis of time series => 3) Frequency analysis and robust statistics

## Recent developments

Method	Metric	Mitigation	Separation	Reference	
GP Framework		Multidimensional GP Modeling		Rajpaul+2015, Barragan+2022	
GLOM		Multidimensional GP Modeling		Gilbertson+2022	
FDPCA		Commonalities in Fourier Space		Ramirez Delgado+2022	
GPRN		GP Neutral Net Modeling		Camacho +2022	> Next week
SCALPELS	PCA Amplitudes (CCF)		Shape/Shift-driven RVs	Collier Cameron+2021	
CCF Prime	<b>GP Model Coefficients</b>		Shape/Shift-driven RVs	Baptiste Klein tbs	EPRV6 meeting
FIESTA+GLOM	Fourier Model Coefficients			Zhao & Ford 2022	in Doute
CCF Linear Regressi	on		Shape/Shift-driven RVs	de Beurs+2020	in Porto
CCF Masks			Variable/Stable Lines	Alex Wise, Lafarga+2020	
LBL+PCA spectr			Variable/Stable Lines	Dumusque 2018, Cretignier+202	22
LBL+PCA rv	PCA Amplitudes (LBL RVs)			Cretignier+2021, +2022	
PWGP			Variable/Stable Lines	Rajpaul +2020	
DCPCA	PCA Amplitude (Spectra)			Jones+2017	
Generative RR		Regression w/ Spectral Residuals		Zhao+2022	
Discriminative RR				Zhao+2022	

Gaussian Process Linear Ordinary Differential Equation (ODE) Maker (GLOM) Fourier Domain Principal Component Analysis (FDPCA), Gaussian Process Regression Network (GPRN) Self-correlation Analysis of Line Profiles for Extraction of Low-amplitude Shifts (SCALPELS)

Fourier Phase Spectrum Analysis (FIESTA) Line By Line (LBL) Pairwise GP (PWGP) RV extraction Doppler-constrained PCA (DCPCA)

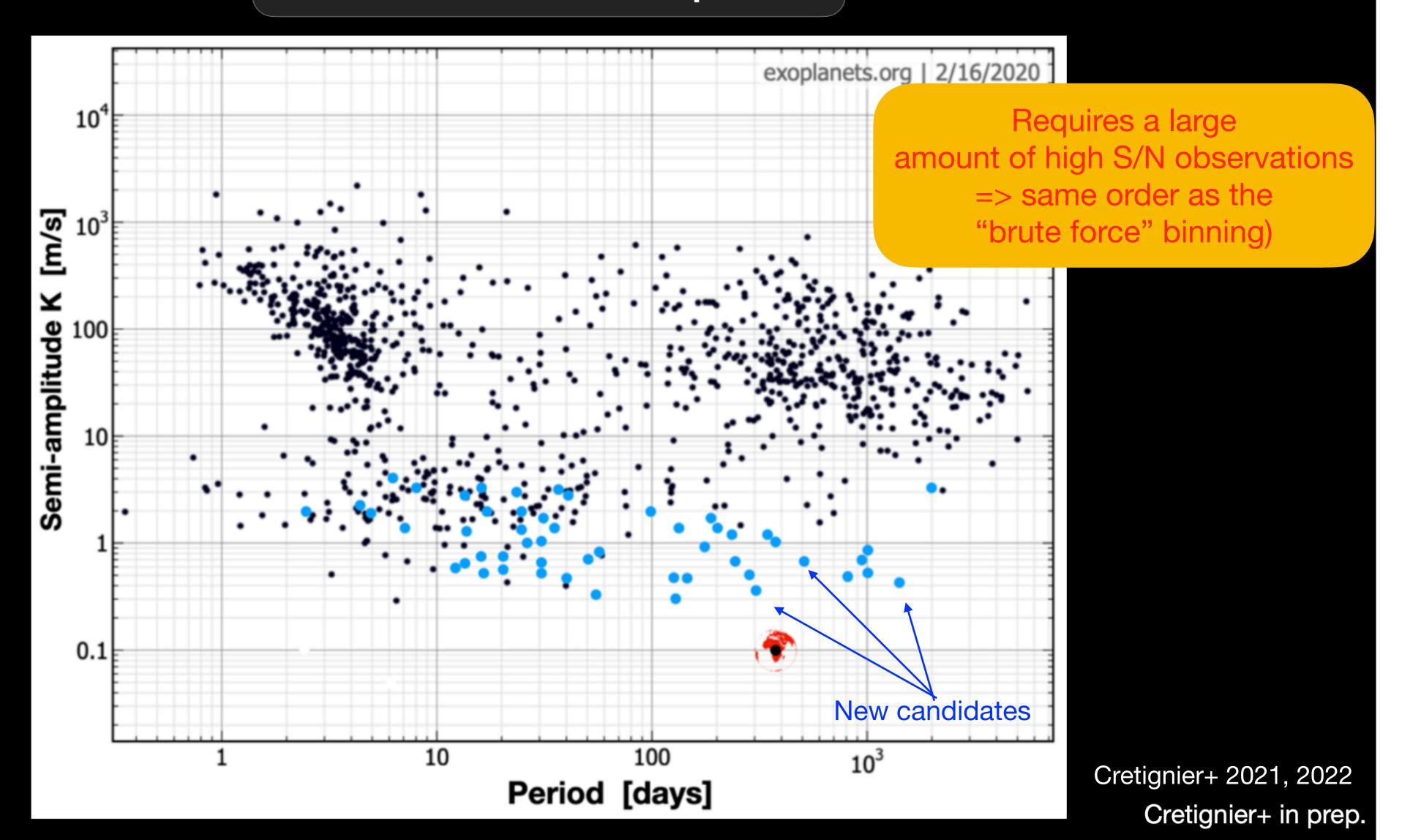
Adapted from Zhao+2022

Luca Malavolta, GOP workshop, Geneva 2022



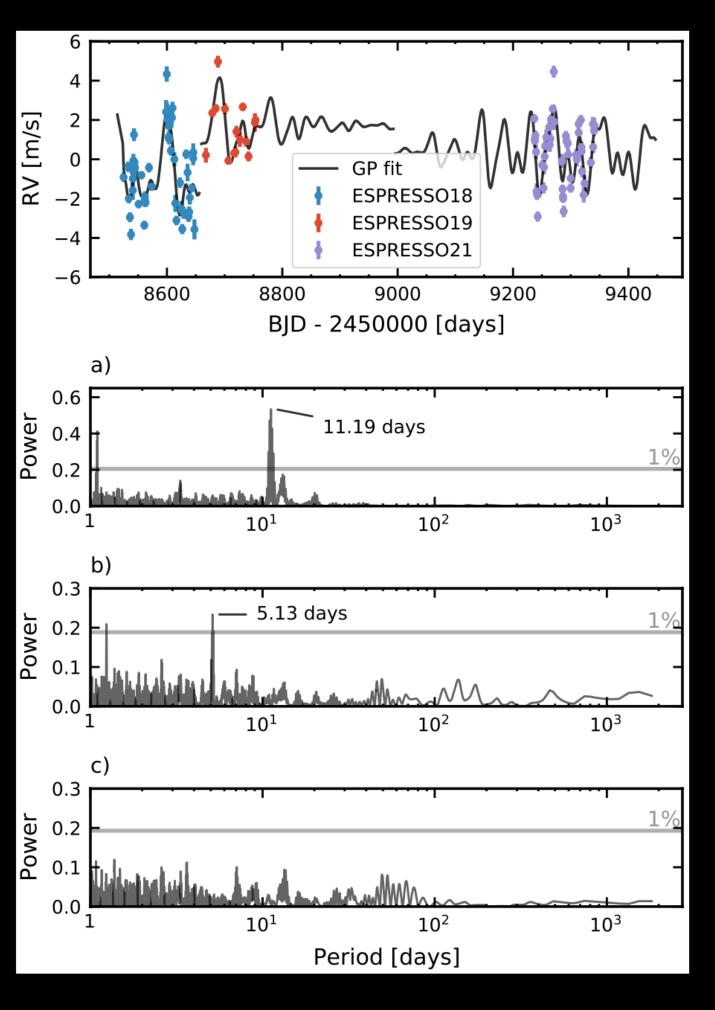
## Reanalysis of HARPS archive data

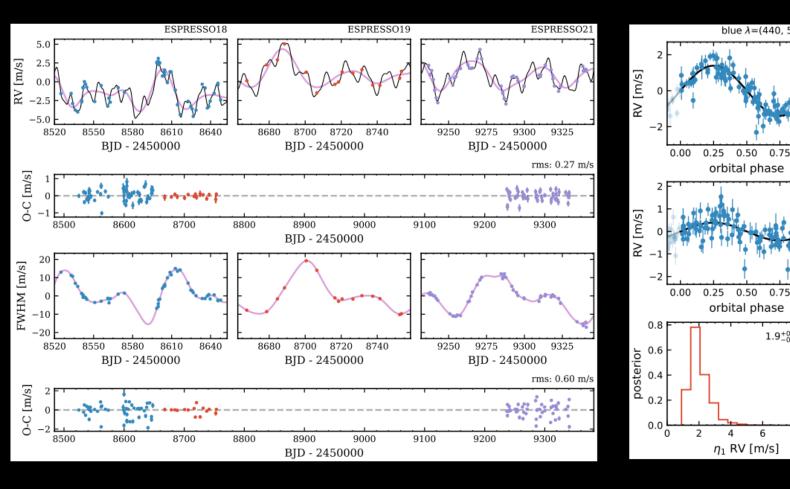
## There is some hope !!!

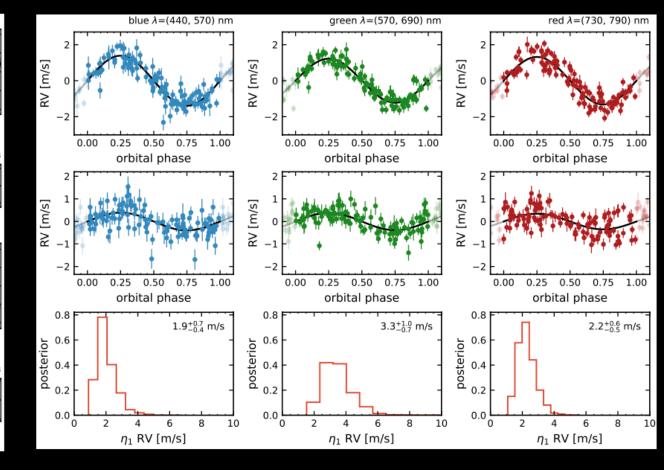


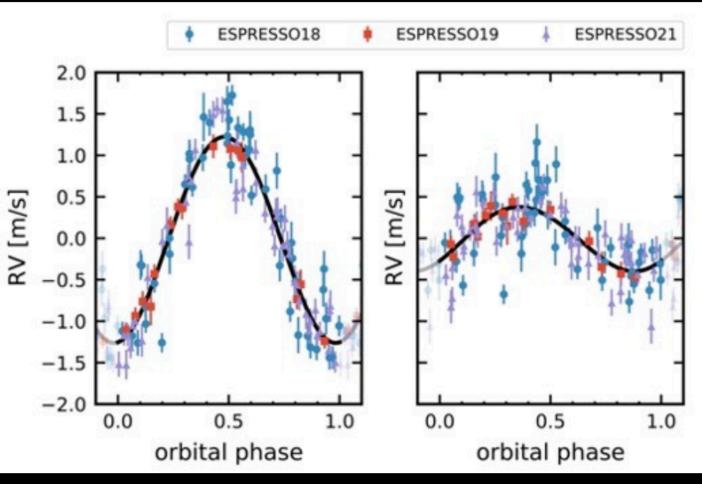


# The example of Proxima Cen (ESPRESSO)









#### **Proxima Cen**

rms to the orbit fit of 29 cm/s. Planet d of 0.26 M<sub>E</sub> on a 5-day orbit!

Faria et al., A&A 2022

## HD3651b with EXPRES

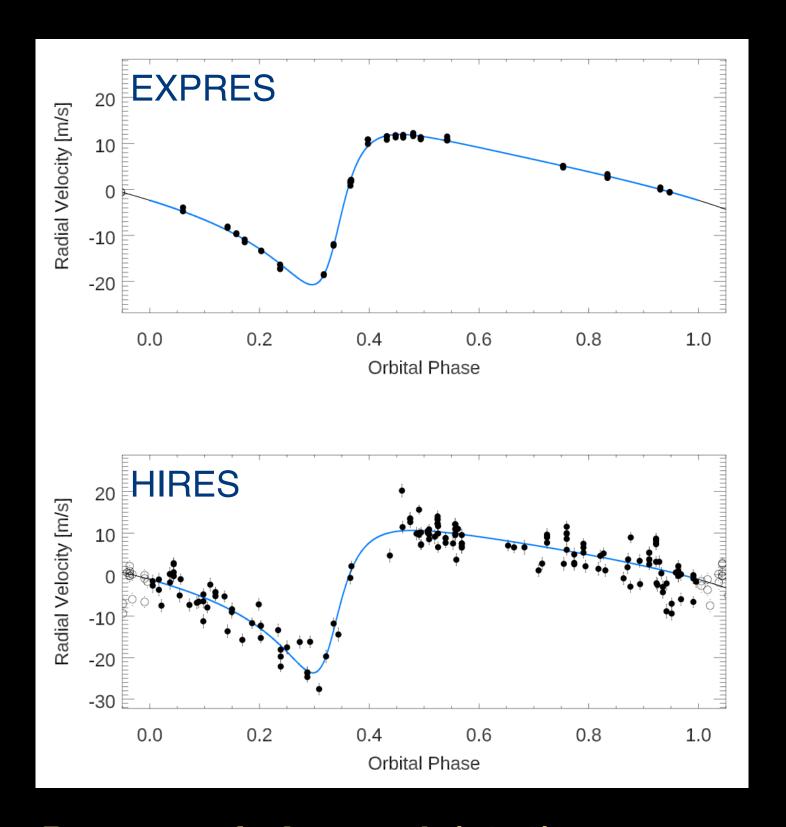


Table 4. Keplerian Model for HD 3651 b			
Parameter	EXPRES	Keck HIRES	
(1)	(2)	(3)	
$P\left[d ight]$	$61.88 \pm 0.55$	$62.26 \pm 0.075$	
$T_{p}\left[d ight]$	$58726.2\pm1.2$	$58726.68 \pm 0.5$	
$oldsymbol{e}$	$0.606 \pm 0.09$	$0.612 \pm 0.12$	
$\omega$	$243.8 \pm 23.4$	$231.9 \pm 41$	
$K[{ m m \ s^{-1}}]$	$16.93 \pm 0.22$	$17.15 \pm 0.9$	
$M\sin i\left[M_{\oplus} ight]$	$69.04 \pm 4.1$	$66.88 \pm 5.9$	
$a_{rel}[AU]$	$0.284 \pm 0.002$	$0.285 \pm 0.001$	
$RMS [m s^{-1}]$	0.58	3.4	

Brewer et al., Astron. J. (2020)

Good precision presented on specific cases

Not clear about the potential number of nights available
Telescope access for Europeans?

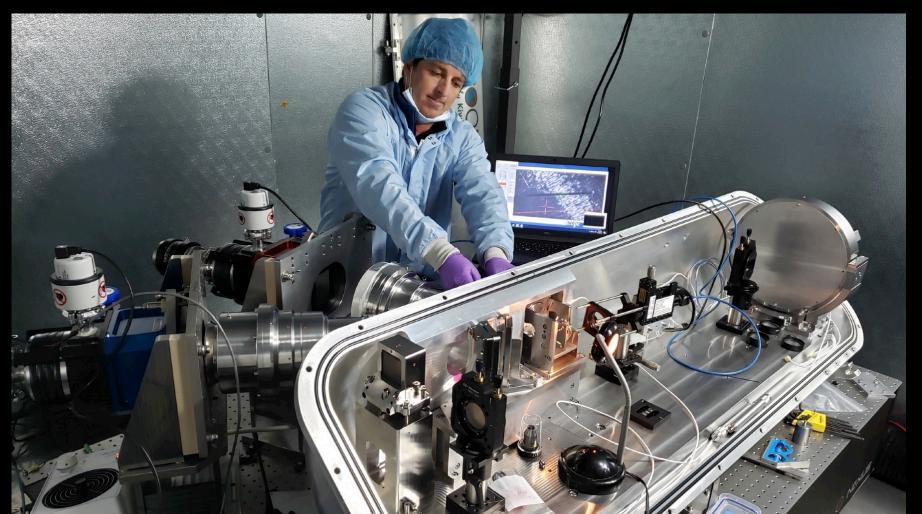
## Maroon X (Courtesy of J. Bean)

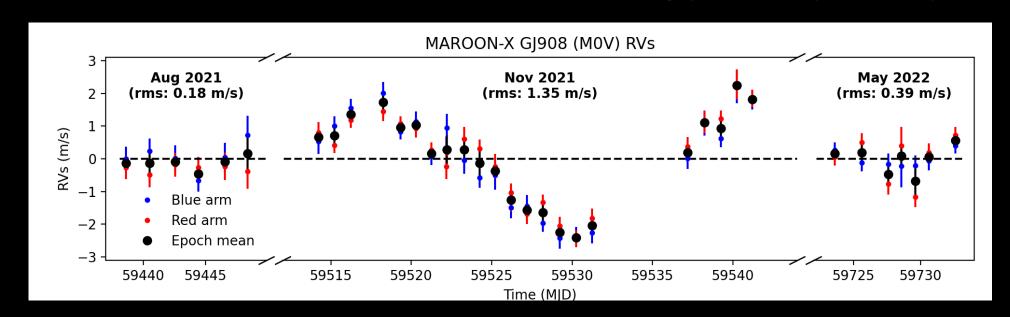
Seifahrt et al. 2022

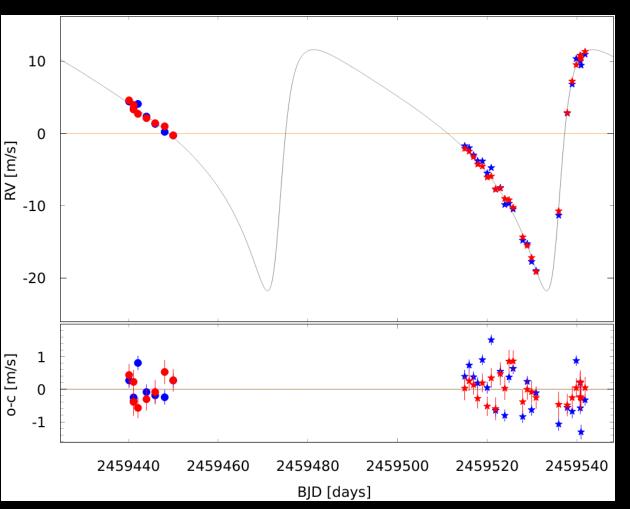
**Primary science driver:** Confirmation and mass measurement of transiting, temperate, and terrestrial planets that are feasible targets for atmospheric spectroscopy. I.e., *TESS* follow up.

**Goal:**  $\sigma = 1$  m s<sup>-1</sup> in <30 min for late M dwarfs out to 20 pc (V=16.5).

**Approach:** A highly-stabilized, fiber-fed spectrograph covering 500 – 900 nm at R=85k with simultaneous calibration feed and pupil slicing.







#### HD3651

rms to the orbit fit of 38 cm/s for the red arm and 63 cm/s for the blue arm

Good precision presented on specific cases

No long-term plans

Very limited number of nights (even for the development team) Telescope accessibility?

#### **Radial Velocity Facilities**

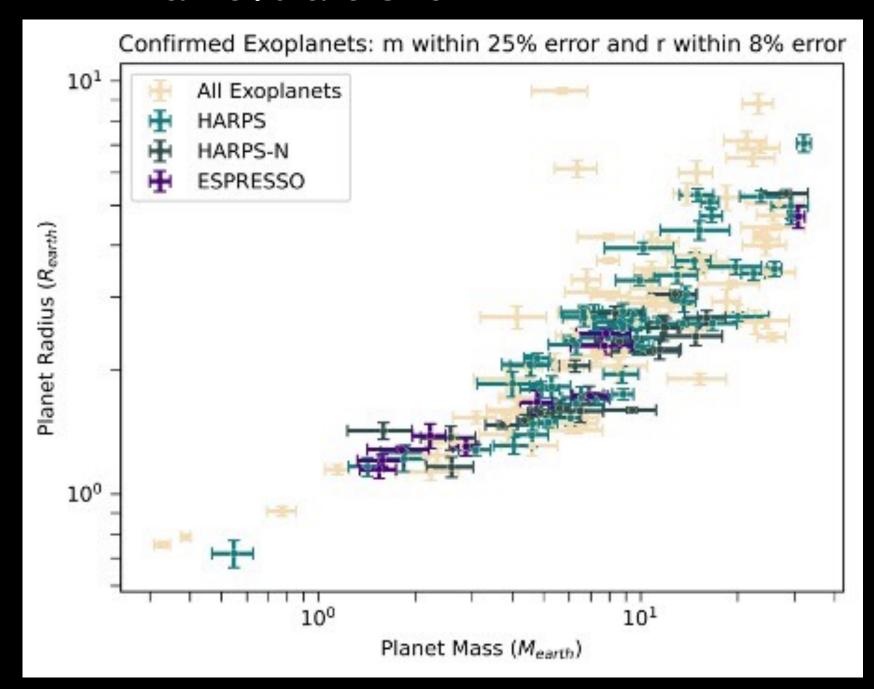
Facilities will be ranked not as function of the telescope diameter but as a function of the RV uncertainties effectively obtained for a solar-type star of magnitude mv=11 in a 1h exposure. The uncertainty should include photon-noise and instrumental systematic error.

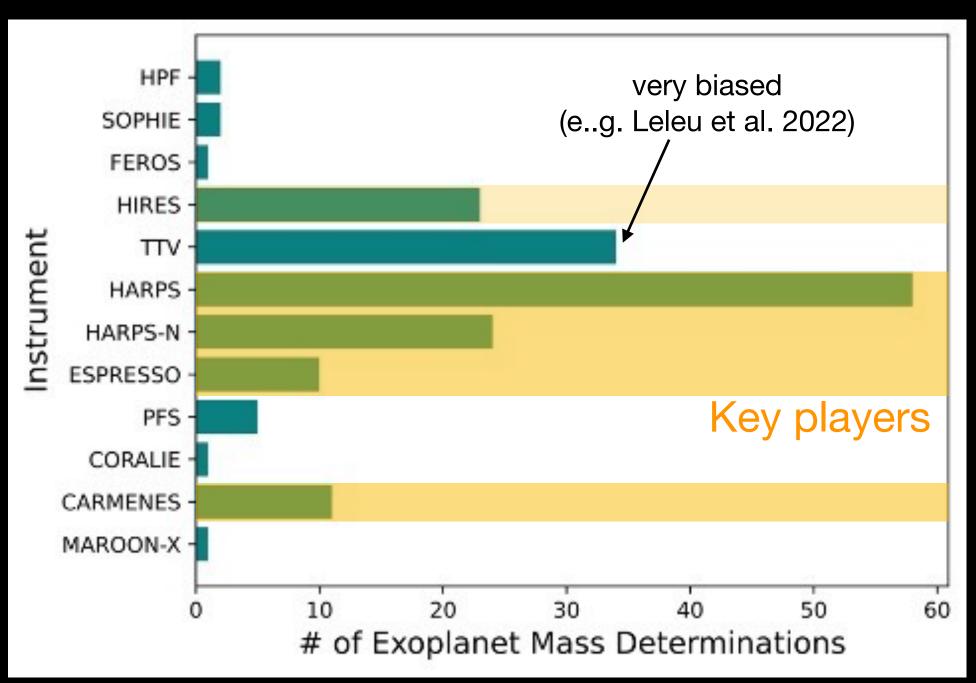
Precise mass measurements of small planets require at the same time "precision" and "availability"

=> not many facilities can provide both

## Challenge - Precise radial velocities (GOP)

Mass-radius diagram of small exoplanets as of August 2022. Only planets published in a refereed journal with a mass precision better than 25% and a radius precision better than 8% are shown





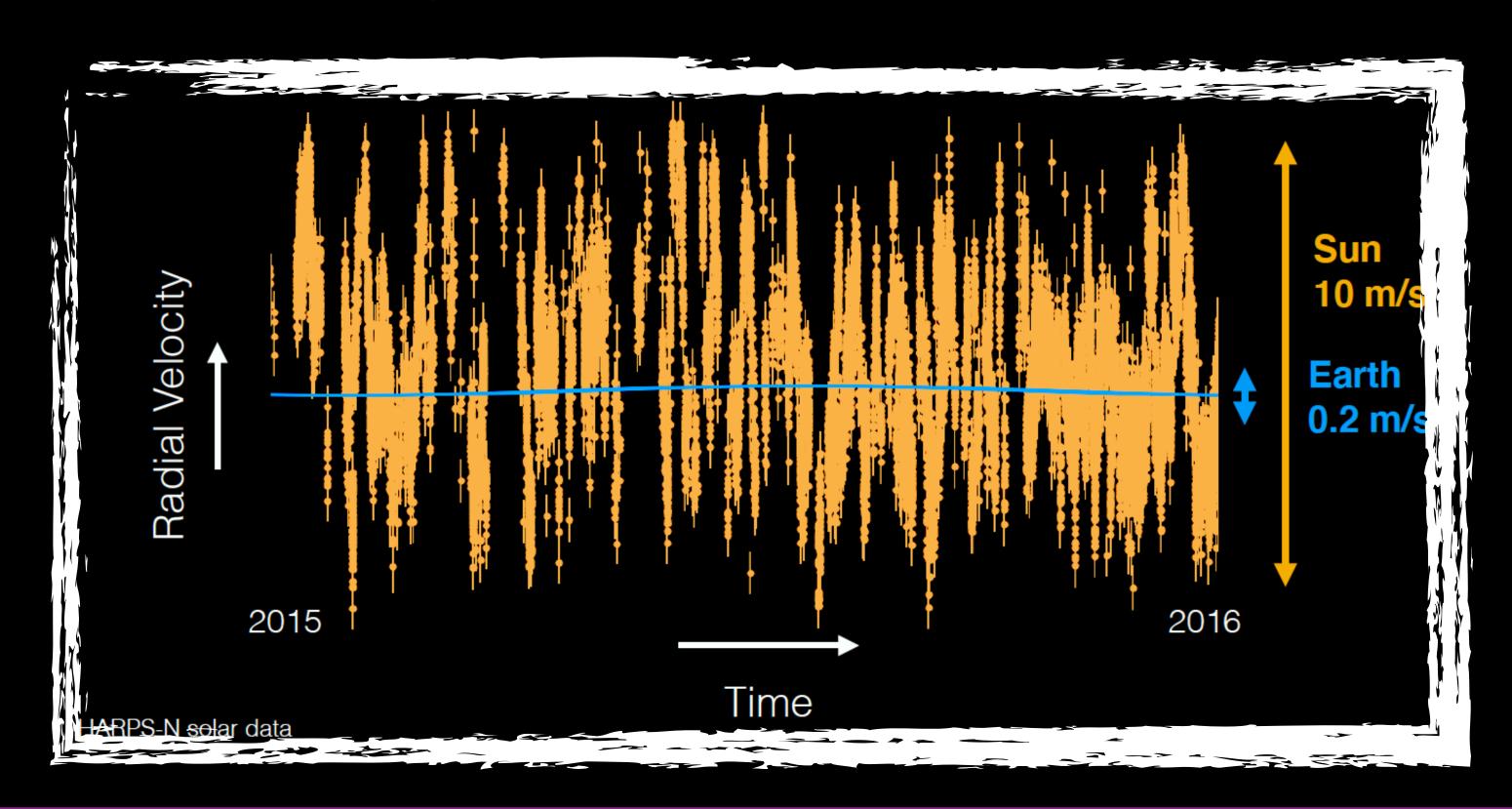
Naidar, private communication

- HARPS, HARPS-N, Carmenes (4-m tel): ~1m/s, many nights, photon-limited below 1 m/s
- HIRES: 1-2 m/s, substantial investment of telescope time
- ESPRESSO: ~0.3 m/s (stability ~10 cm/s)

## Challenge - Precise radial velocities (GOP)

A feel of the challenge with solar data => use the Sun as a proxy (solar telescopes)

Talk by B. Lakeland



- => Not only RV precision (stability & repeatability) => New generation of spectrographs ✓
- => Also model of the stellar effect => huge effort of the community on-going ✓
- => And large amount of available nights => discussion with ESO ✓

# Another challenge: GOP organisation & efficiency

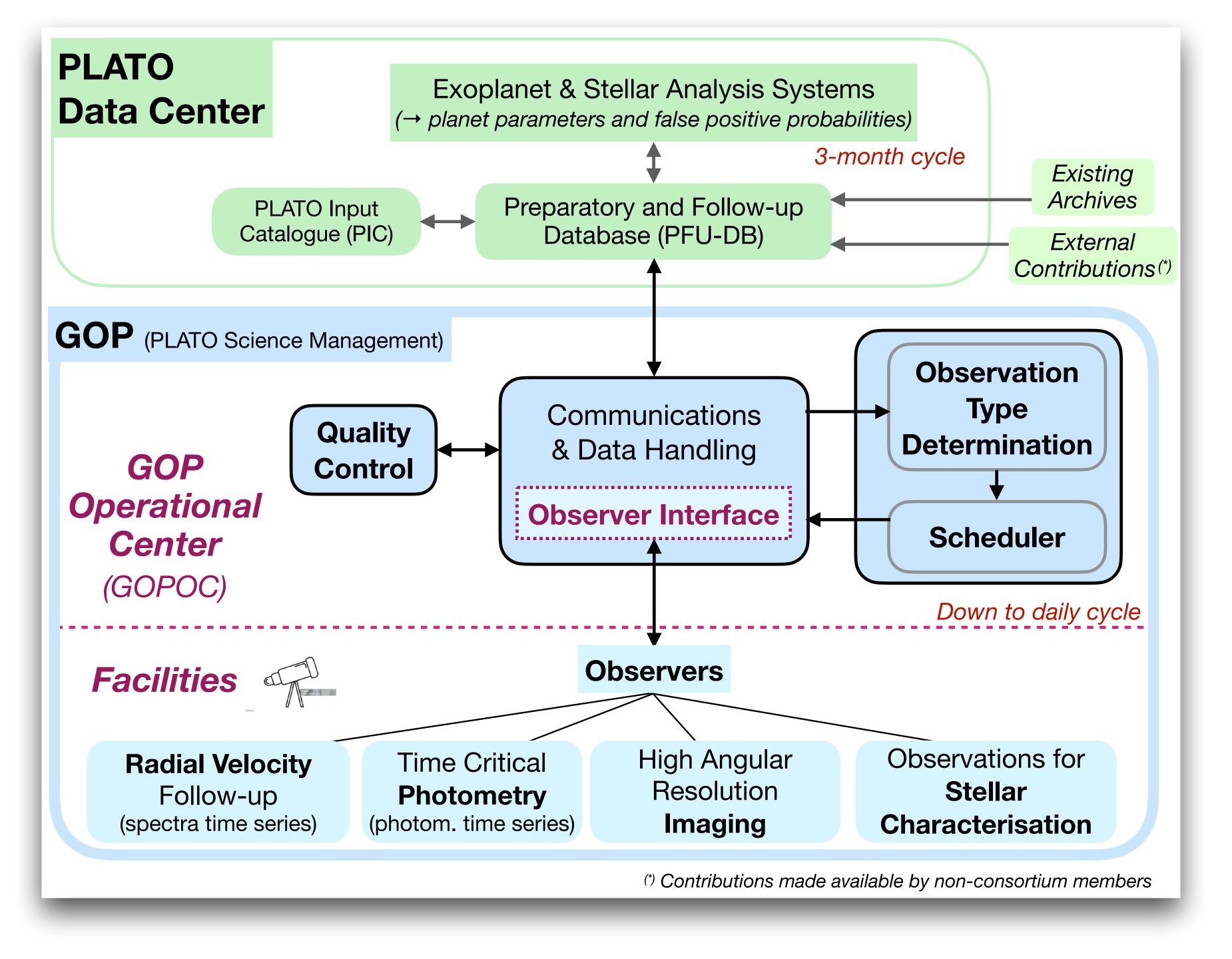
- Large number of expected transit candidates (prime sample + interesting candidates in statistical sample)
  - => systematic observation of all transits with large telescopes unfeasible
  - => an optimised follow-up scheme has been organised
- Same level of precision cannot be reached for all stars (spectral type, luminosity class, activity, brightness)
- Same is true for the RVs and high-contrast imaging
- Strategy for the follow-up: efficient approach
  - => matching targets and adequate facilities (avoid useless observations)
  - => minimum number of used facilities per target (avoid inefficient duplications)

In practice => a "guided" multi-step approach from moderate to high-precision (screening)

- => Design and development of tools for:
  - optimum automatic match between participating facilities and target needs
  - efficient interface between observers and target information
  - + optimisation of scheduling

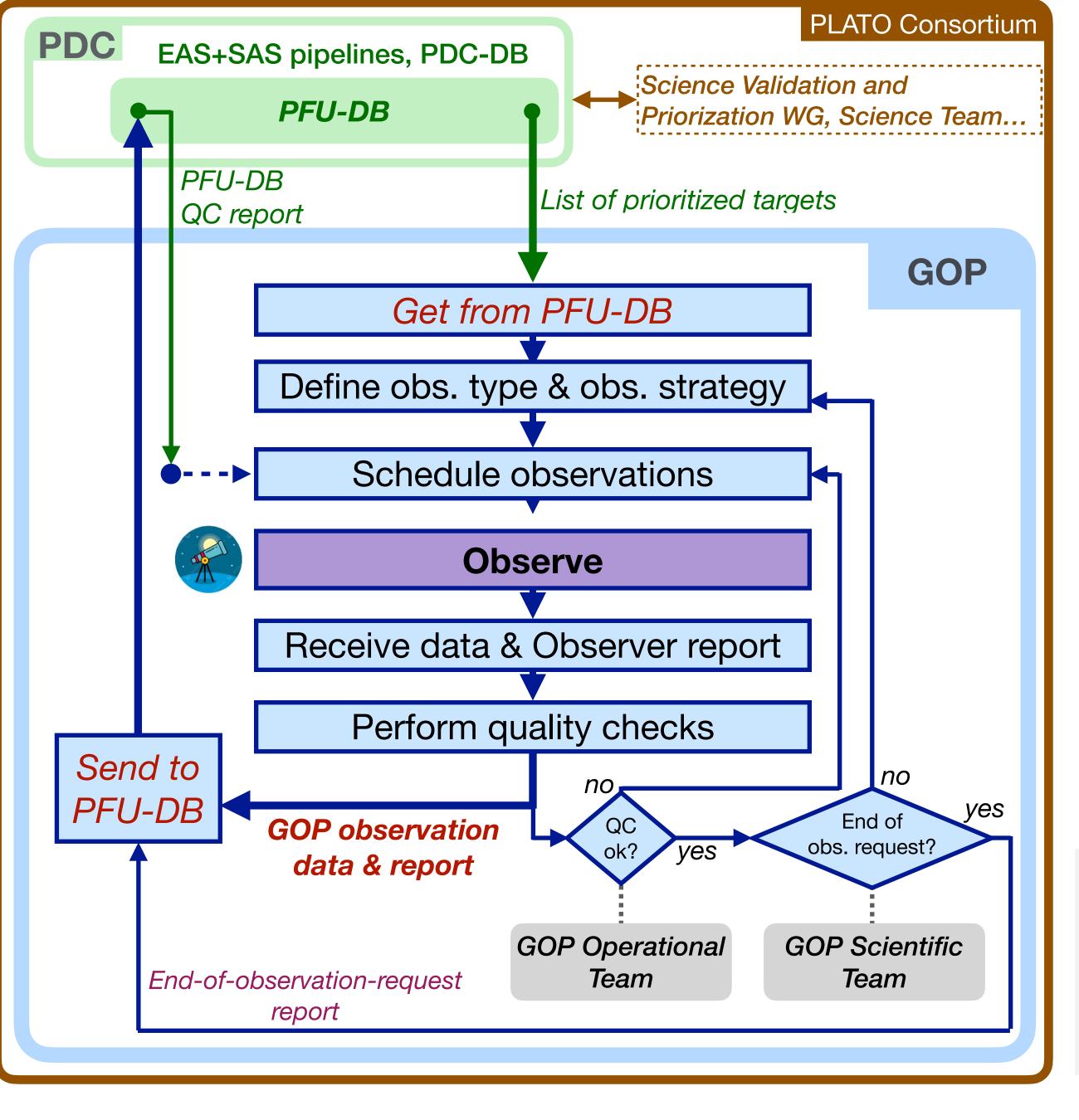
## **GOP Operations**

Design and Implementation of needed subsystems in the GOP Operational Center



#### **GOP Operations**

Flow of information



PDC: PLATO Data Center

EAS : Exoplanet Analysis SystemSAS : Stellar Analysis System

PDC-DB: PDC Data Base

PFU-DB: Preparatory Follow-Up DataBase

WG : Working GroupQC : Quality Control

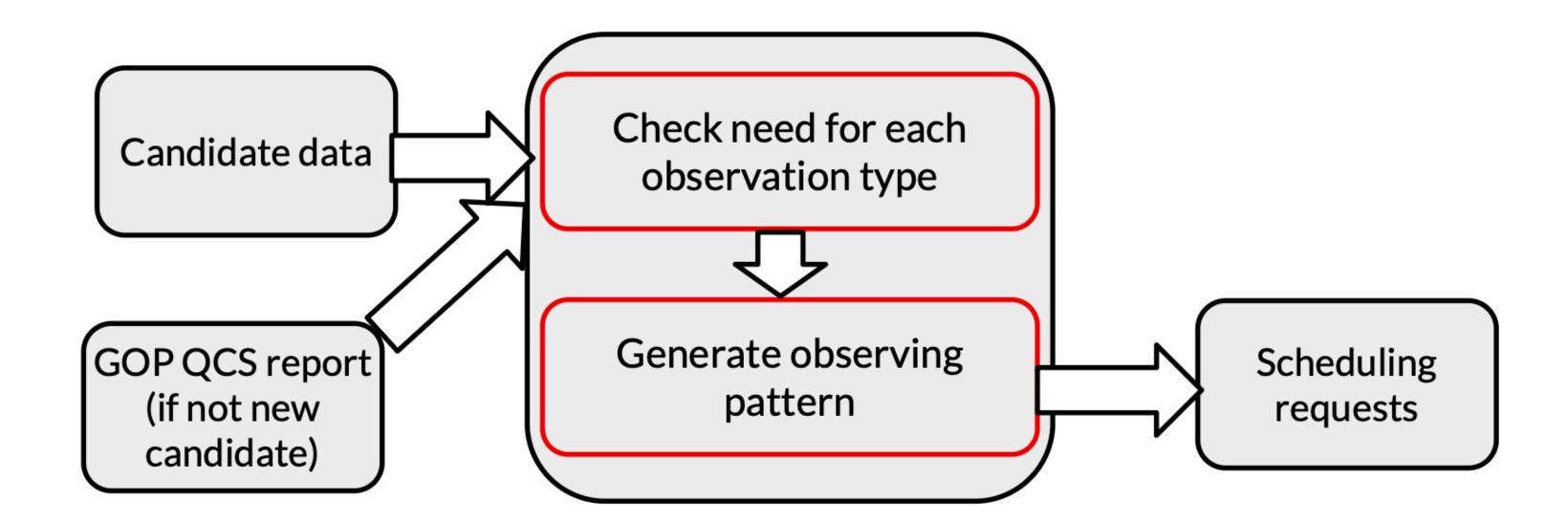
## GOP Operations: Observation Type Determination

#### For vetting

Automatic determination of the observation type (Recon Spectro, Photometry, Imaging) depending on configuration probabilities determined in the Exoplanet Analysis System

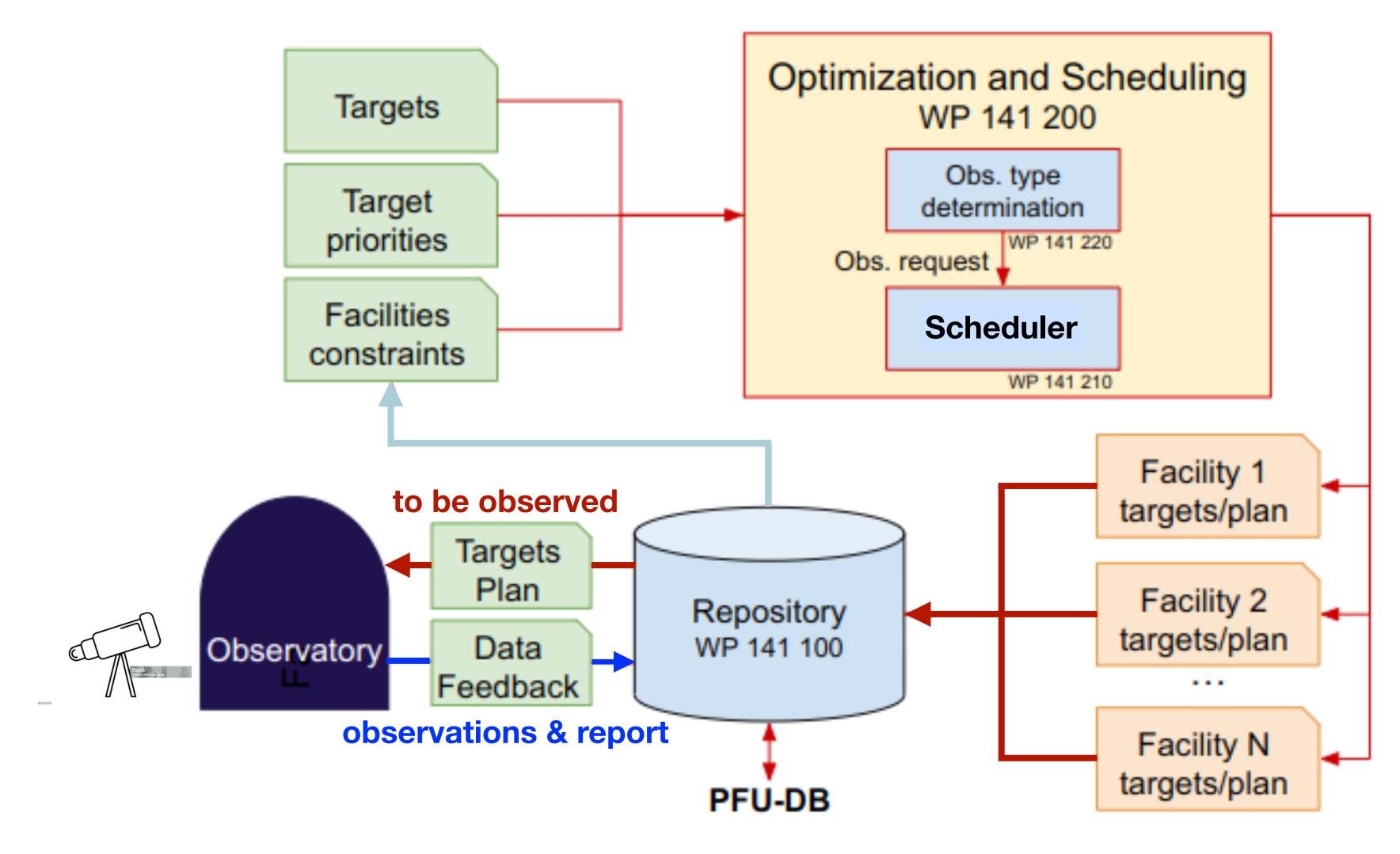
#### For mass determination

**Automatic determination of the observation strategy** (long RV time series) depending on target properties and planet candidate parameters and stellar properties (origin/nature of "activity signal")



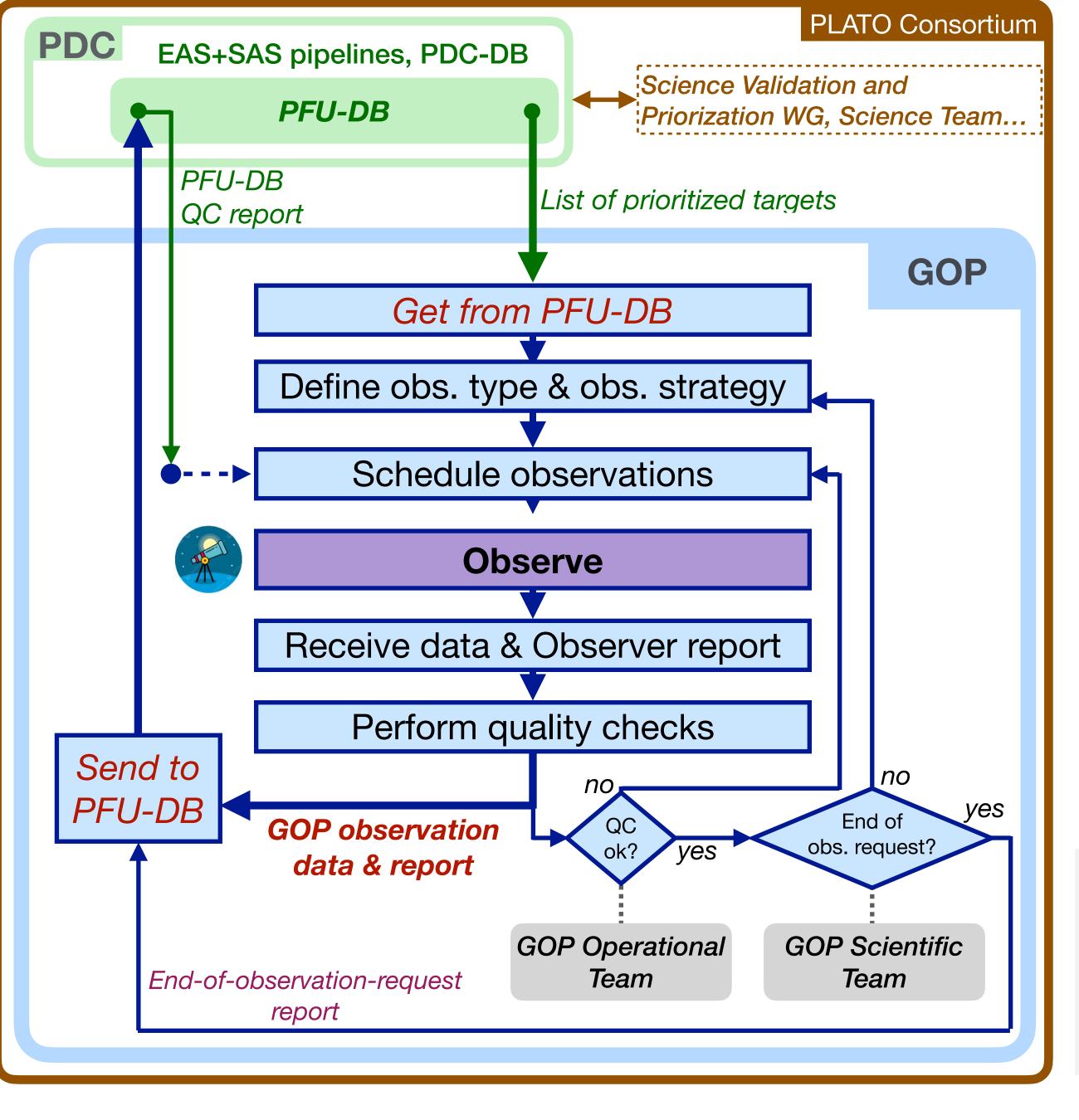
#### **GOP Operations**: Scheduler

Automatic matching between targets and facilities, based on the type of observation needed and the availability of corresponding registered facilities.



#### **GOP Operations**

Flow of information



PDC: PLATO Data Center

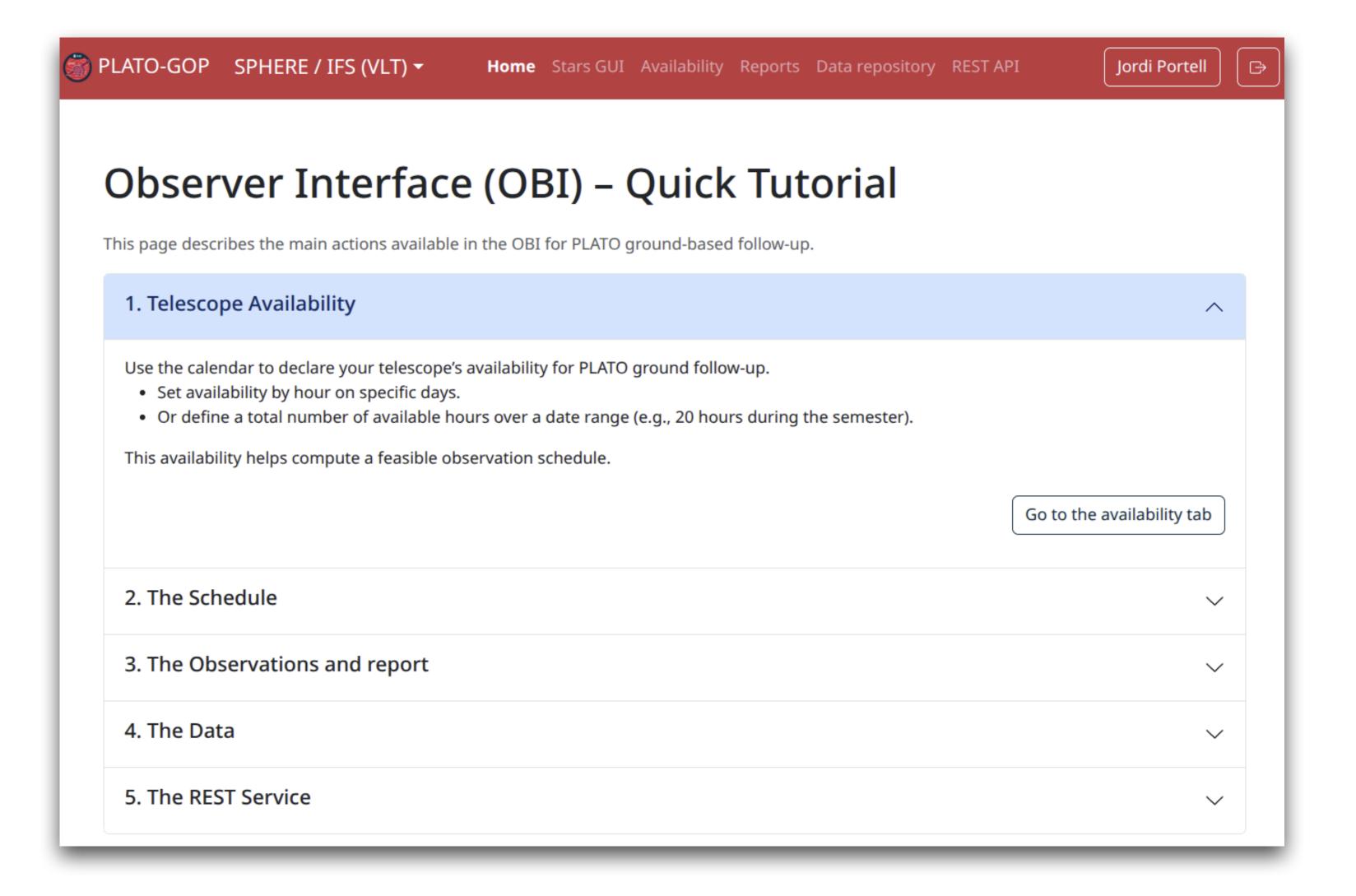
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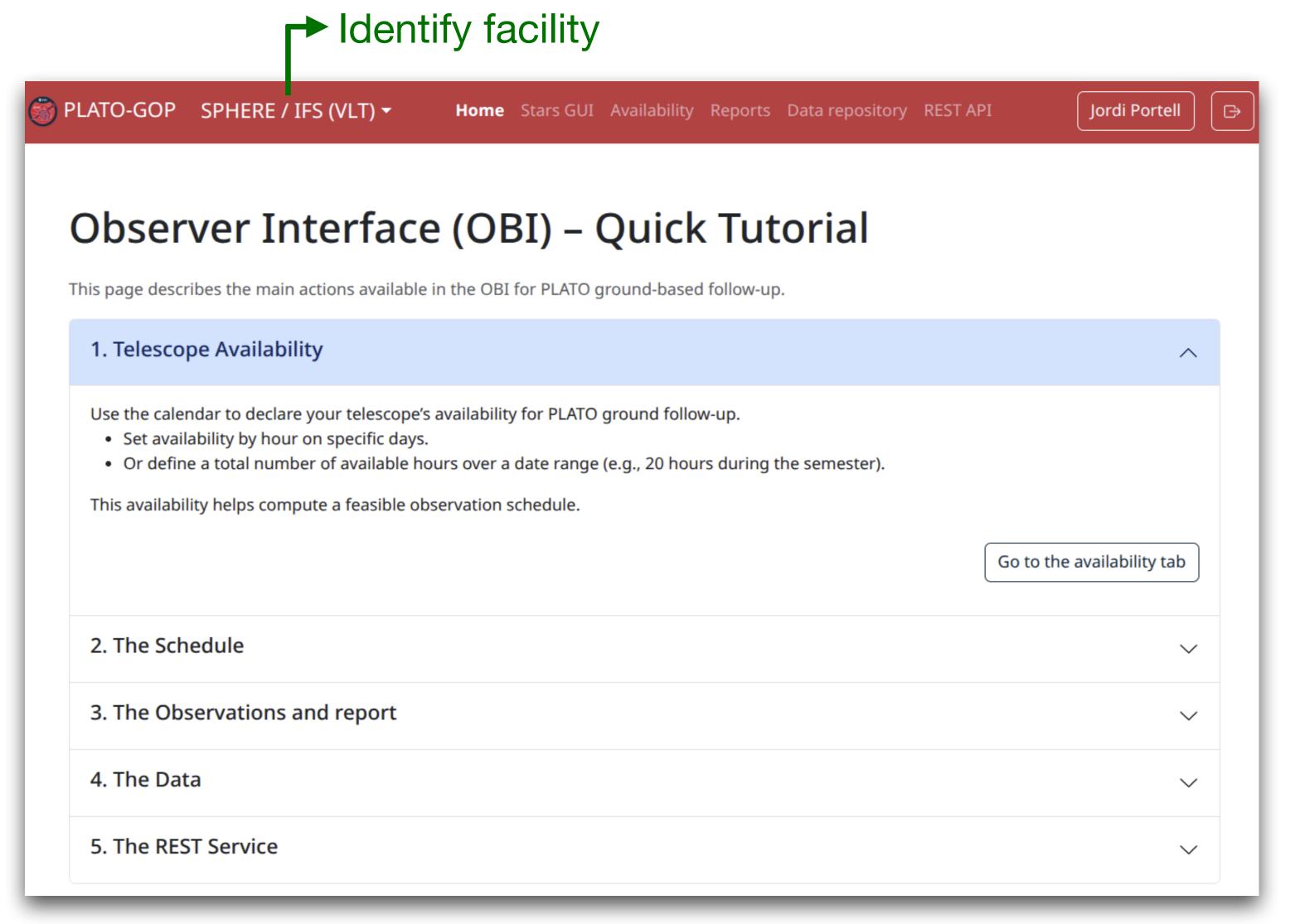
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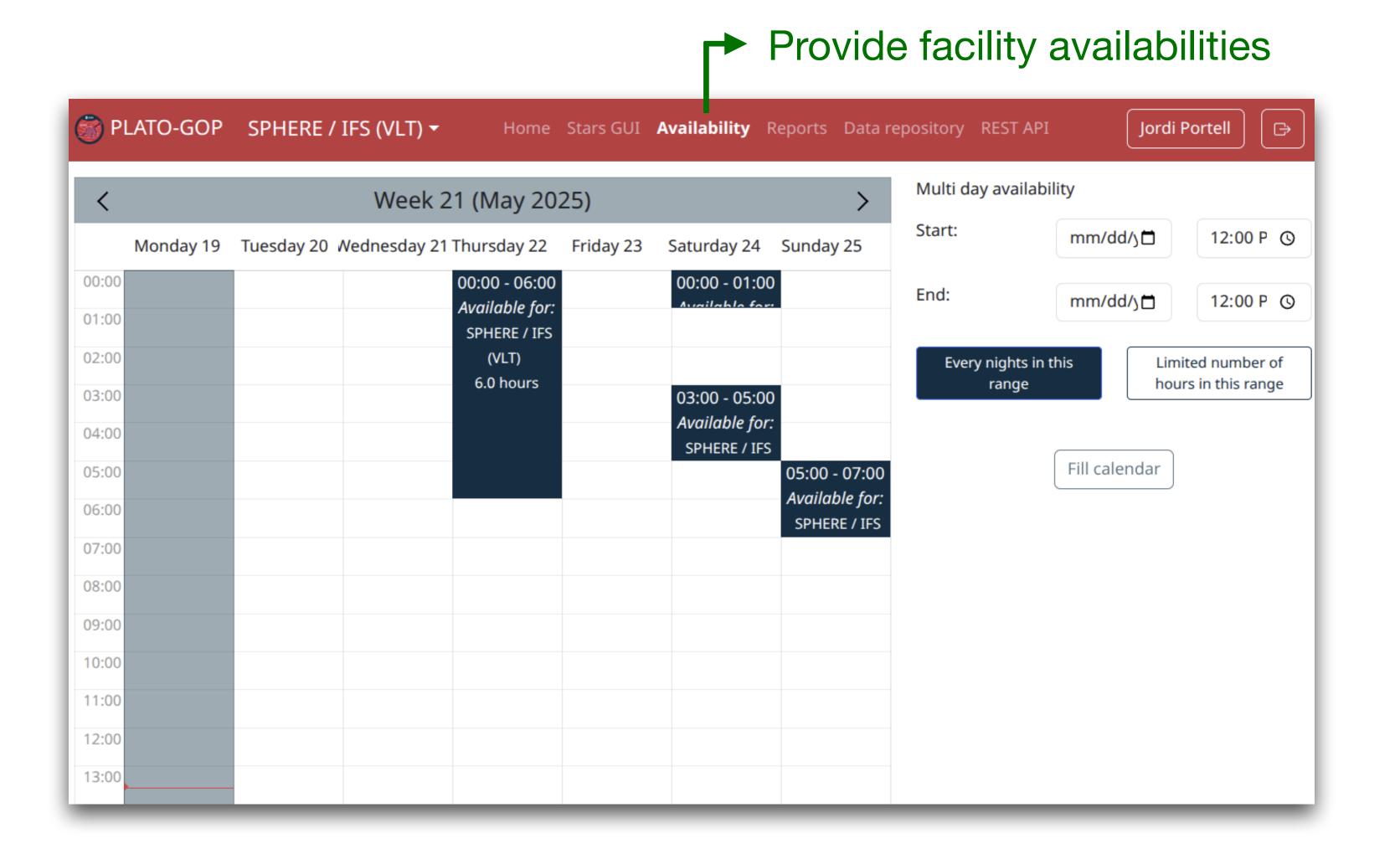
#### Main interface between the observers and the GOP Operations Center



#### Main interface between the observers and the GOP Operations Center

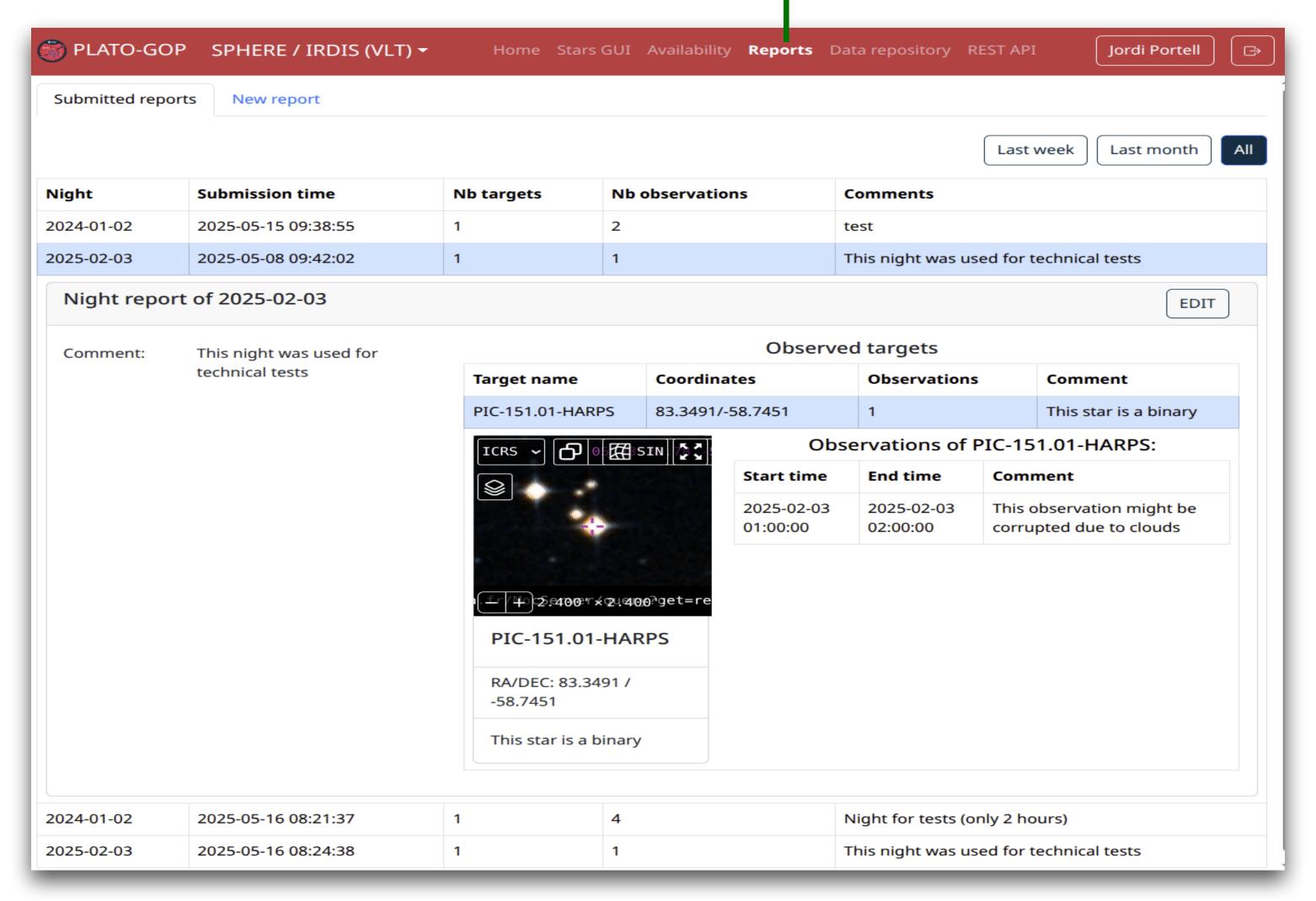


#### Main interface between the observers and the GOP Operations Center

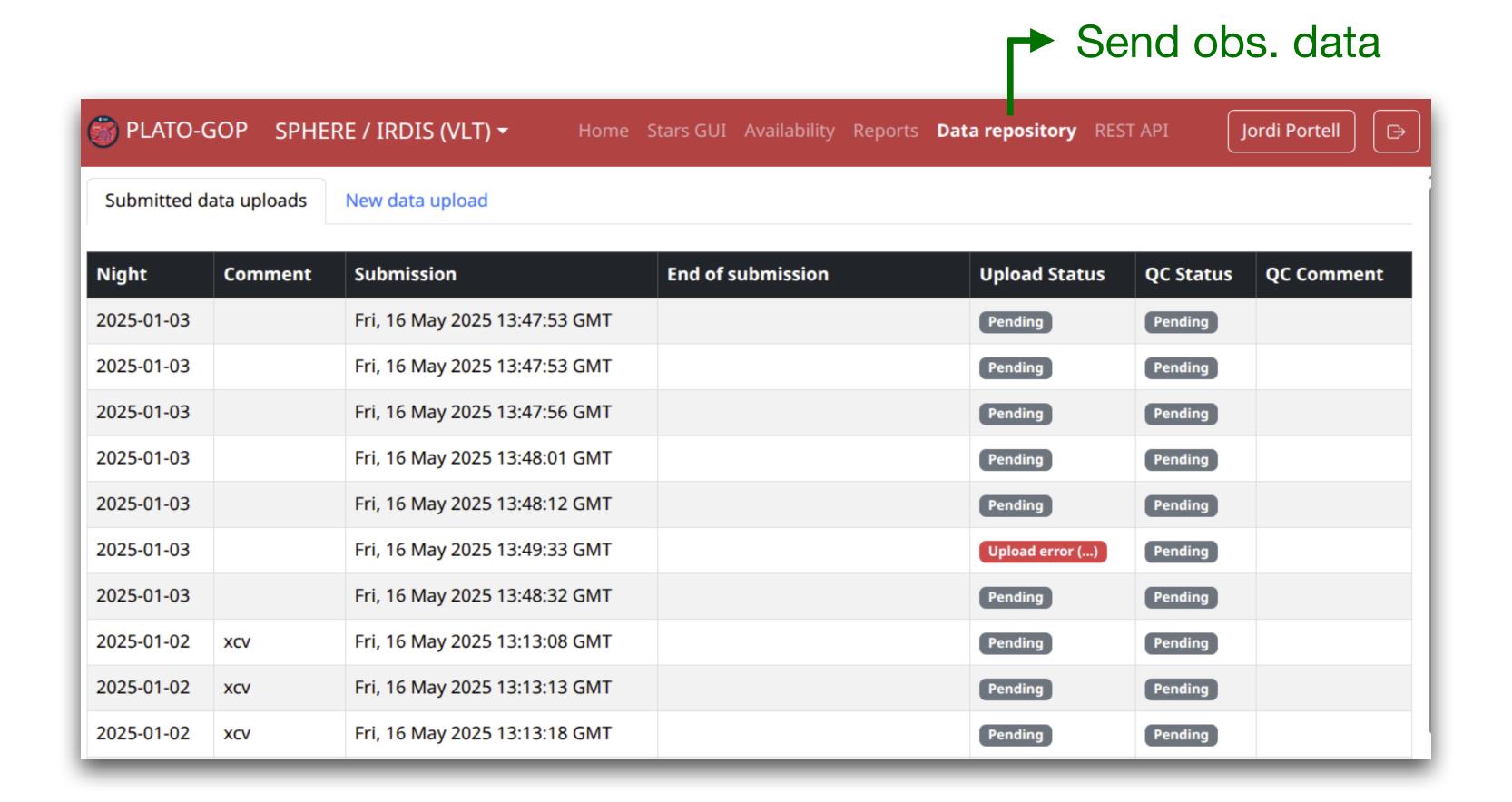


#### Main interface between the observers and the GOP Operations Center

Send obs. report at end of night



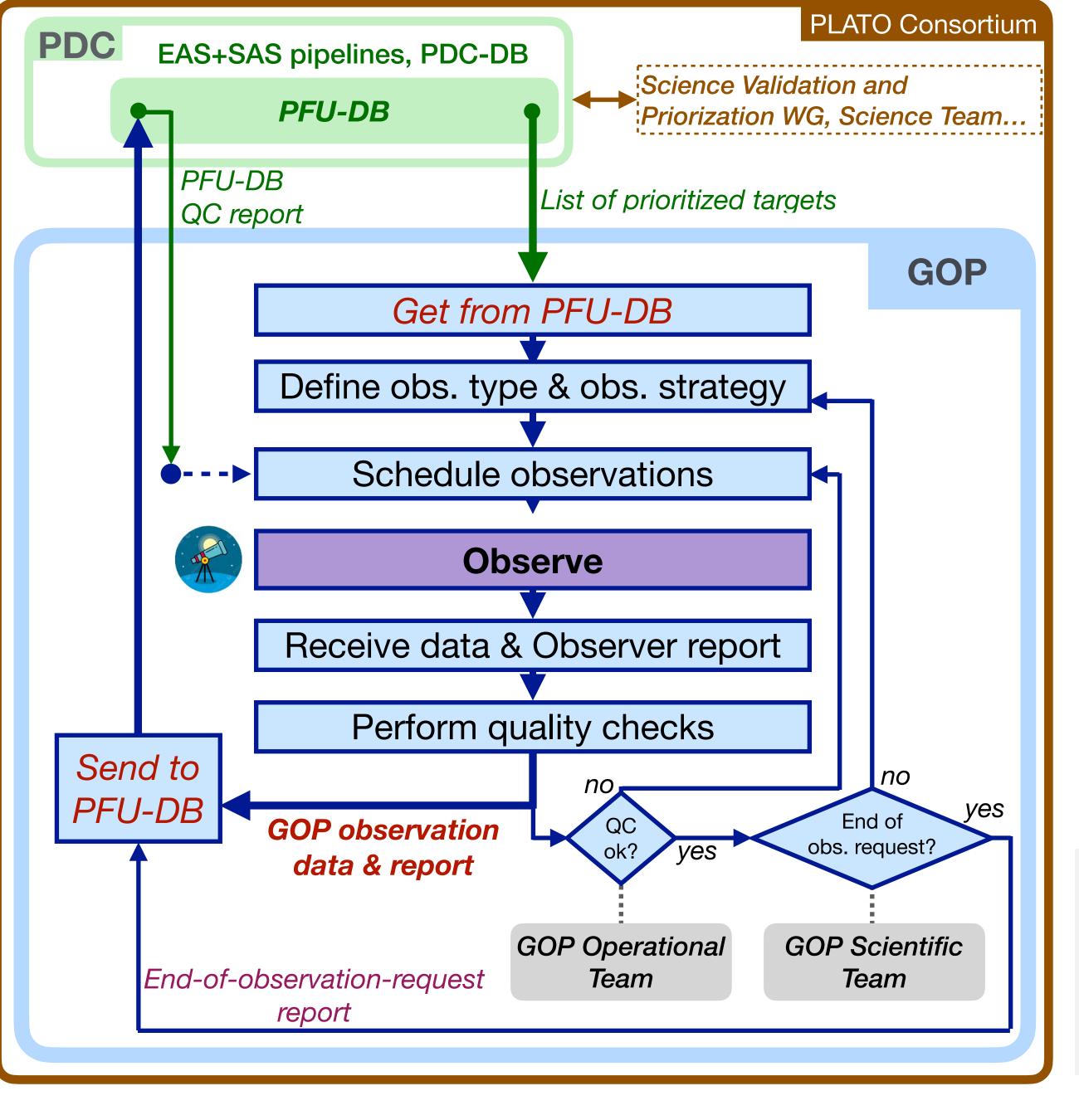
#### Main interface between the observers and the GOP Operations Center



+ possibility to send (by observer to GOP Ops Center) or retrieve (by GOP Ops Center from facility) data using API

#### **GOP Operations**

Flow of information



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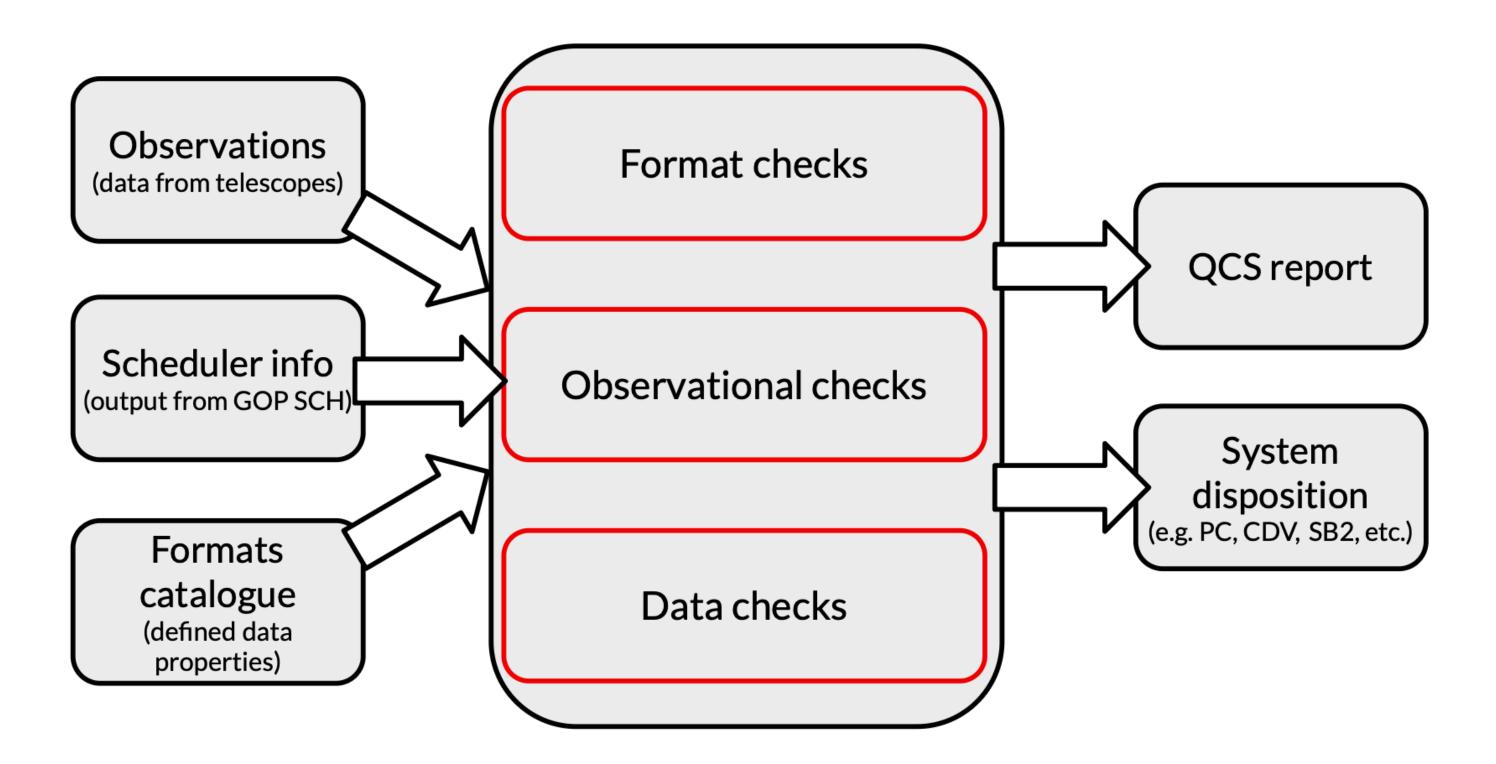
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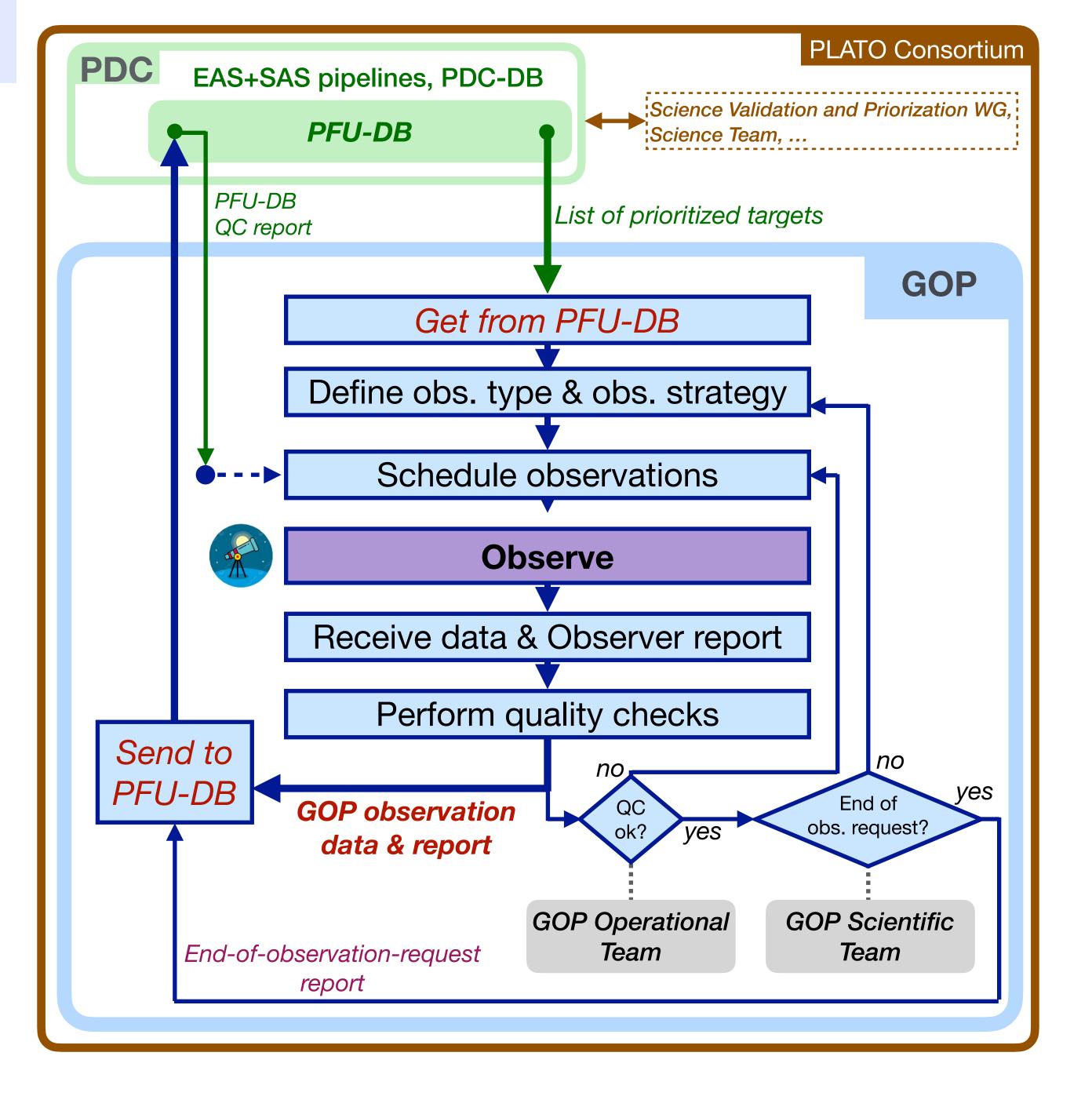
## **GOP Operations**: Quality Control

#### **Automatic quality control:**

- quality-check of the observations data (file formats, observations requirements, data quality)
- flag the nature of the event (='dispositions') for vetting (SB2, nearby EB, ...)
- generate report



## **GOP Operations**



PDC: PLATO Data Center

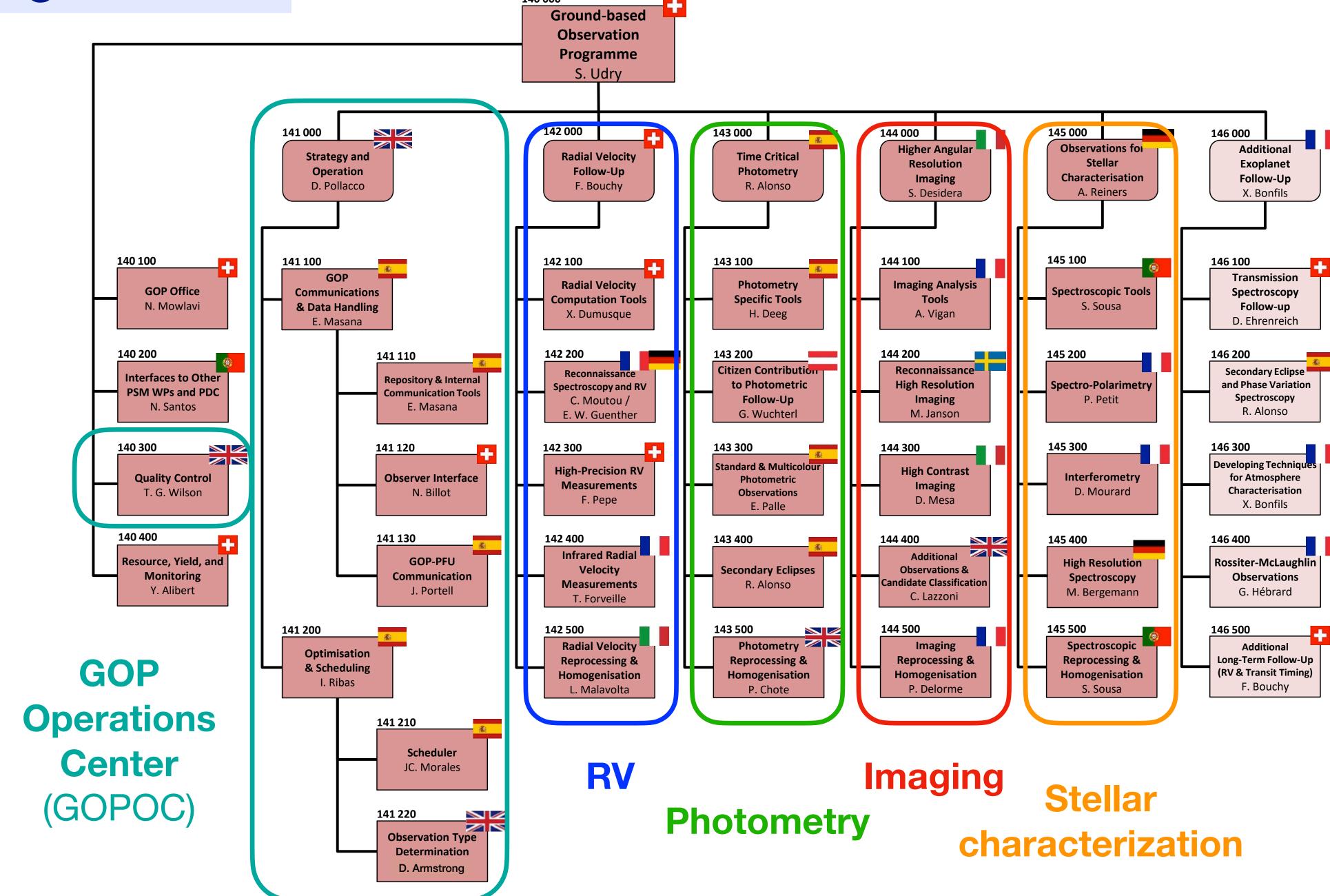
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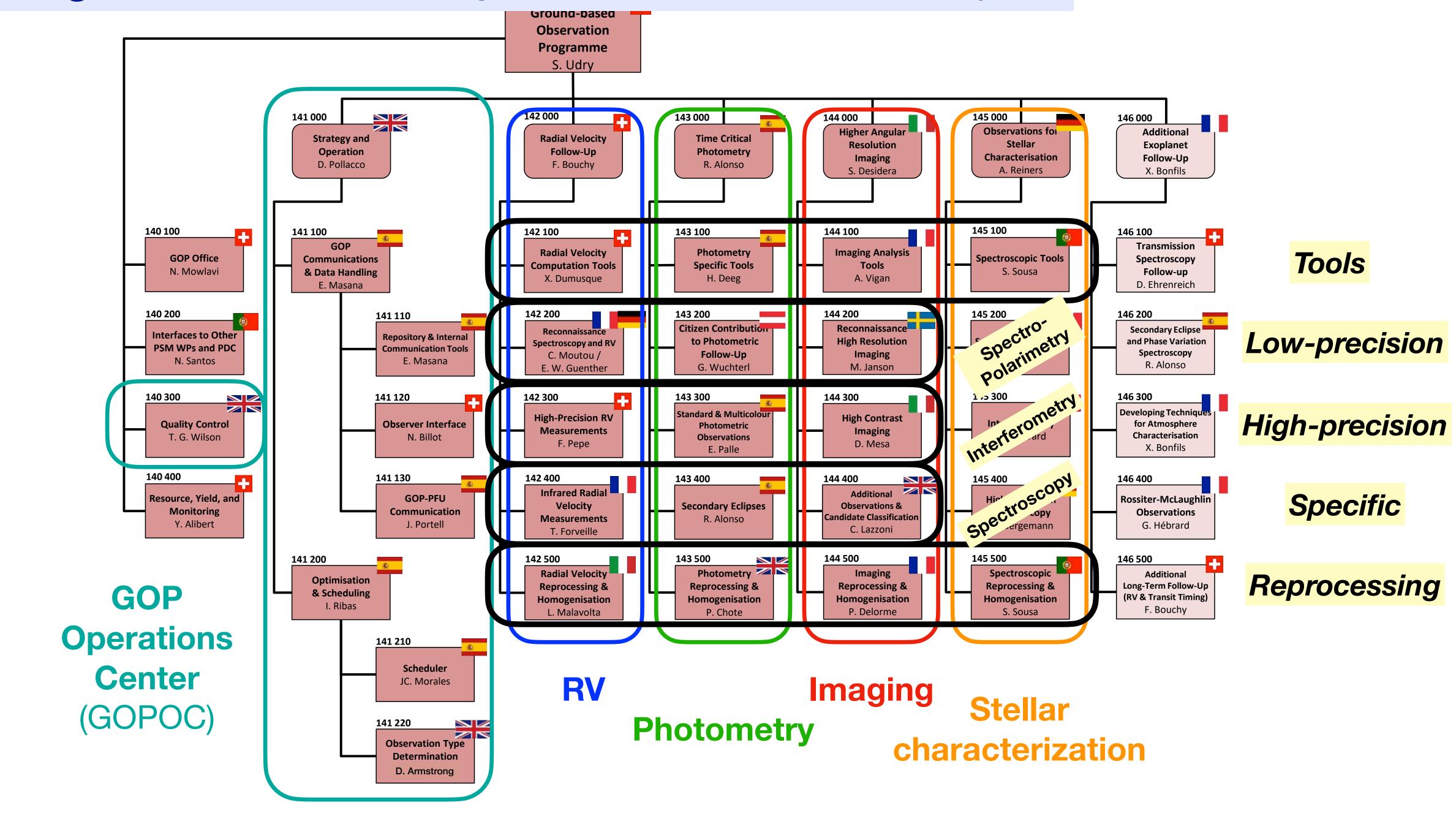
## **GOP Organisation**



#### **GOP Organisation Ground-based Observation** Programme S. Udry 142 000 143 000 141 000 144 000 145 000 146 000 Observations for **Additiona Higher Angula Radial Velocity Time Critical** Strategy and Resolution **Exoplanet** Operation Follow-Up **Photometry** Characterisation Follow-Up D. Pollacco F. Bouchy R. Alonso A. Reiners X. Bonfils S. Desidera 145 100 140 100 141 100 142 100 143 100 144 100 146 100 Transmission **Radial Velocity Photometry Imaging Analysis** Tools **GOP Office Spectroscopic Tools** Communications Spectroscopy **Specific Tools Computation Tools** Tools N. Mowlavi S. Sousa & Data Handling Follow-up X. Dumusque H. Deeg A. Vigan D. Ehrenreich E. Masana 140 200 142 200 143 200 145 200 146 200 141 110 Reconnaissance Citizen Contribution Secondary Eclipse Reconnaissance Low-precision Interfaces to Other **Repository & Internal** to Photometric **High Resolution** and Phase Variation **Spectro-Polarimetry PSM WPs and PDC Communication Tools** Spectroscopy C. Moutou / N. Santos E. Masana G. Wuchterl M. Janson E. W. Guenther 145 300 143 300 140 300 141 120 142 300 144 300 High-precision **High-Precision RV High Contrast Quality Control Observer Interface** Interferometry for Atmosphere Measurements **Imaging** Characterisation D. Mourard T. G. Wilson N. Billot **Observations** D. Mesa E. Palle 140 400 142 400 143 400 144 400 145 400 **Infrared Radial Additional** Specific **GOP-PFU** Resource, Yield, and Rossiter-McLaughlin **High Resolution Secondary Eclipses Observations &** Velocity Communication Spectroscopy **Observations** Candidate Classification J. Portell M. Bergemann G. Hébrard C. Lazzoni 143 500 144 500 145 500 141 200 142 500 Photometry Spectroscopic **Radial Velocity** Imaging **Additional** Reprocessing **Long-Term Follow-Up** Reprocessing & Reprocessing & Reprocessing & Reprocessing & **GOP** & Scheduling (RV & Transit Timing) Homogenisation Homogenisation Homogenisation Homogenisation I. Ribas L. Malavolta P. Chote P. Delorme S. Sousa **Operations** 141 210 Scheduler Center **Imaging** JC. Morales Stellar (GOPOC) **Photometry** Observation Type characterization **Determination**

D. Armstrong

#### GOP Organization: Work packages for the different observation types



## **Observers & Participation to the GOP**

Participants to the GOP must be members of the consortium. For this, they have to:

- choose a GOP work package (WP) they want to contribute to, and describe this contribution
- contact the responsible of the WP and the top-level WP leader of the given observation type who will then
  transmit the request to the head of the consortium with the WP recommendation. The decision is made by
  the head of the consortium (office)
- ▶ after confirmation, sign the Non-Disclosure Agreement (agreement to follow the data access and publication policies) if not yet a consortium member.

#### As a consortium member,

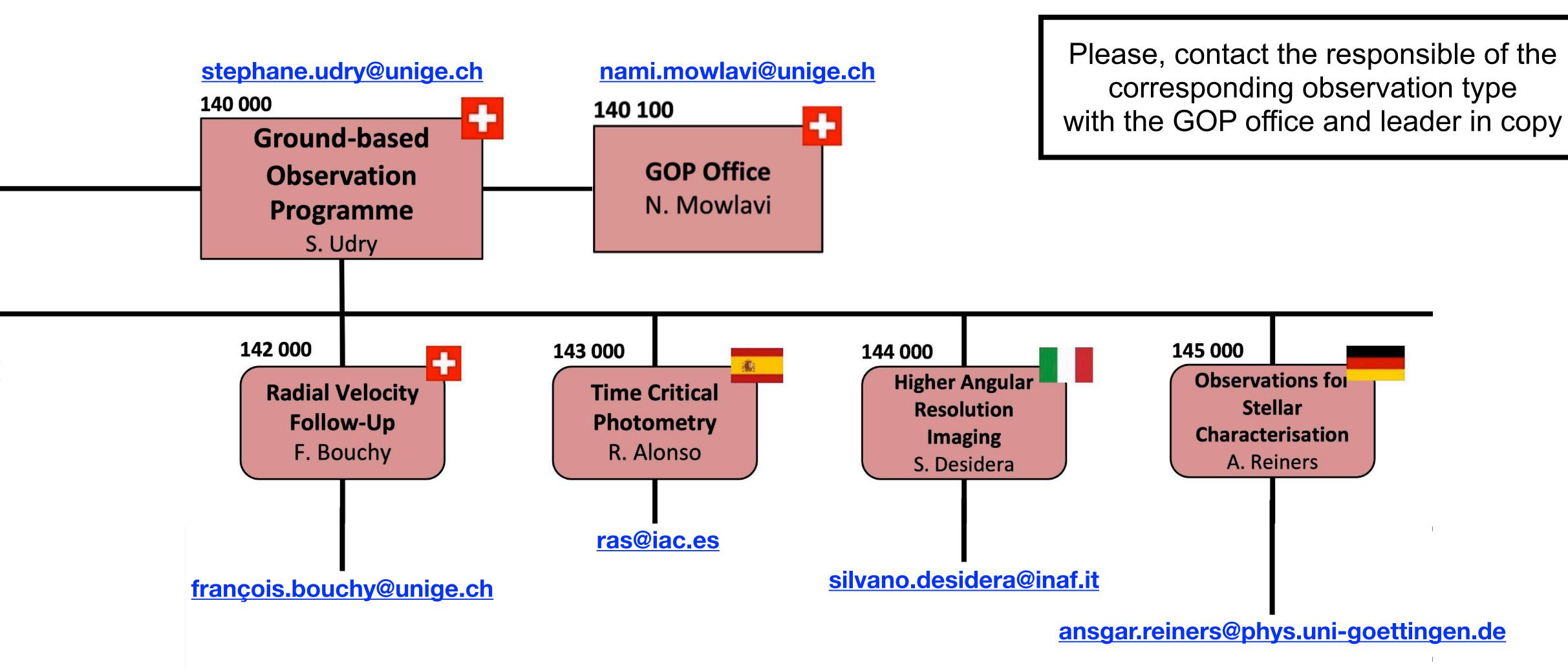
- they have access to the full PLATO data (according to the data access rule)
- they can participate to consortium publications (following the consortium publication policy)
- they have to abide by the member rules, which include:
  - the non-disclosure of PLATO data and information, and
  - the requirement to be fully in the consortium (i.e. either in or out of the consortium).

#### Particular cases (in discussion):

- Observers at the telescope might not need to be consortium member
  - they might be serving several programmes (PLATO, Prog2, Prog3, etc) in time sharing schemes
- Non ESA members in large collaborations with a substantial contribution to PLATO (several 10's of nights)
  - Examples: HARPS-N consortium on TNG, including CfA partners, NIRPS consortium including Canadian partners, ...
  - Discussion of a special status for them, with full participation to the GOP activities but restricted access to to the full PLATO data: GOPEC (GOP External Contributors)

#### You want to be involved

#### Persons of contact



## **Observers & Participation to the GOP**

#### **GOP** work packages relevant to Observers

#### WP 142 - Radial Velocity Follow-up (François Bouchy)

- WP 142 200 : Reconnaissance Spectroscopy and RV (Claire Moutou / Eike Günther)
- WP 142 300 : High-Precision Measurements (Francesco Pepe)
- ► WP 142 400 : Infrared Radial Velocity Measurements (Thierry Forveille)

#### WP 143 - Time-Critical Photometry (Roi Alonso)

- WP 143 200 : Citizen Contribution to Photometric Follow-up (Günther Wuchterl)
- WP 143 300 : Standard & Multicolor Photometric Observations (Enric Pallé)
- ► WP 143 400 : Secondary Eclipses (Roi Alonso)

#### WP 144 - Higher Angular Resolution Imaging (Silvano Desidera)

- WP 144 200 : Reconnaissance High Resolution Imaging (Marcus Janson)
- WP 144 300 : High Contrast Imaging (Dino Mesa)
- WP 144 400 : Additional Observations & Candidate Classification (Cecilia Lazzoni)

#### WP 145 - Observations for Stellar Characterization (Ansgar Reiners)

- WP 145 200 : Spectro-Polarimetry (Pascal Petit)
- WP 145 300 : Interferometry (Denis Mourard)
- WP 145 400 : High-Resolution Spectroscopy (Maria Bergemann)